

# Comparison of refraction inversion methods

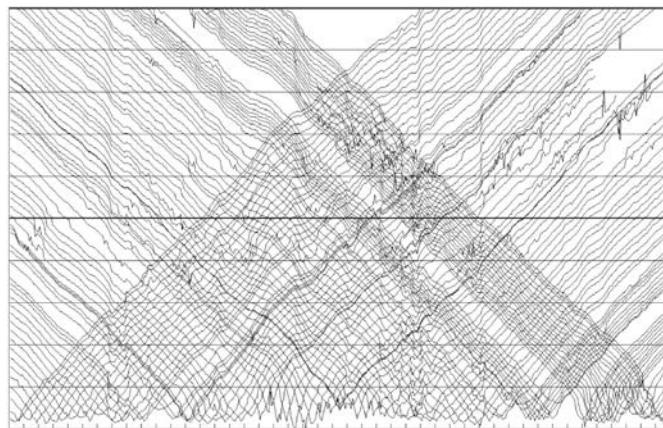
Bernard Law and Daniel Trad

# Outline

- Delay time method and refraction tomography
- Use reflection data in refraction inversion and near surface velocity model building
  - Long wavelength component of the reflection residual statics
  - FWI
- Field data example (Hussar 2D )
- FWI numerical example
- Conclusions

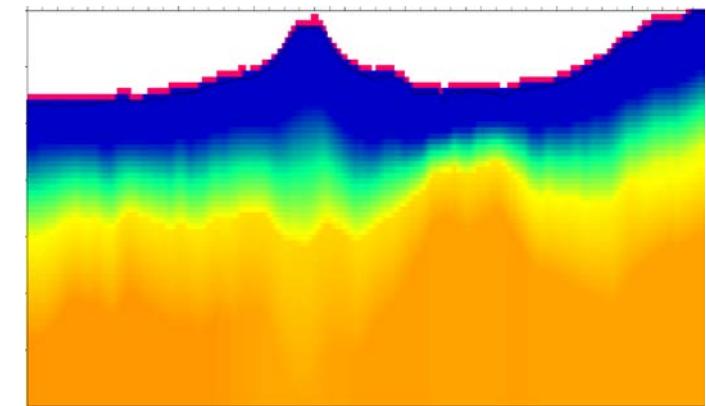
# Refraction inversion

Refraction Data ( $d$ )



$$\text{Minimize } J = \| d - Lm \|^2$$

Model parameter ( $m$ )



$d$  = first arrival picks  
 $L$  = forward modeling operator  
 $m$  = model parameters  
 $Lm$  = modeled data  
 $J$  = cost function to minimize

# Refraction inversion

## Refraction Inversion

Refraction Data ( d )

$$\text{Minimize } J = \| d - Lm \|^2$$

d = first arrival picks

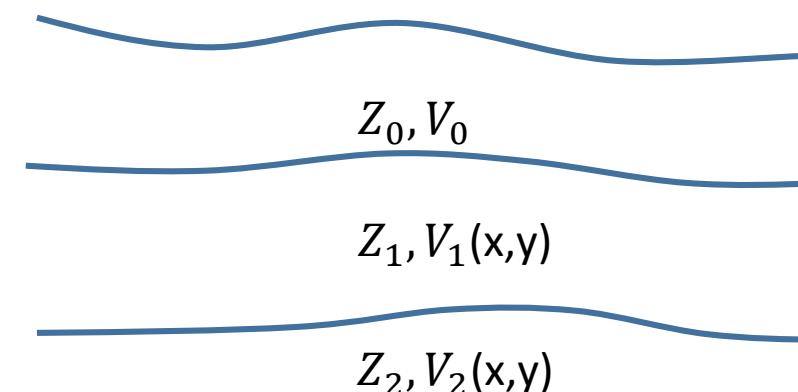
L = forward modeling operator

m = model parameters

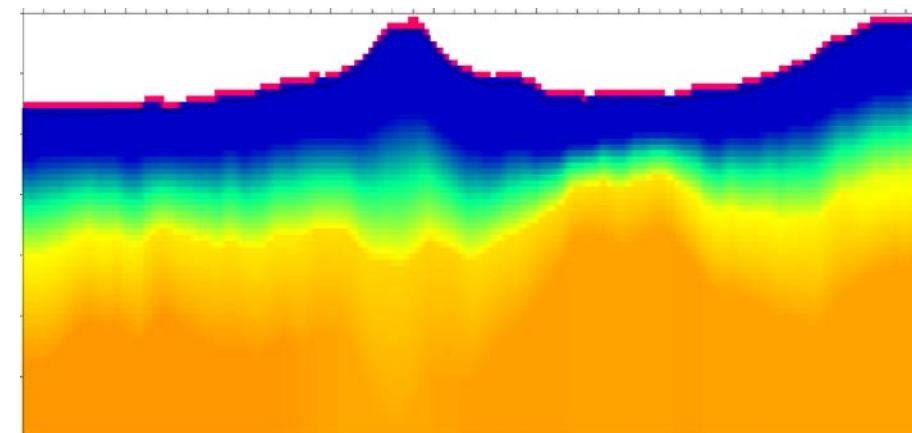
**Lm = modeled data**

J = cost function to minimize

## Layer model ( Delay time method)



## Grid model ( Refraction Tomography)



# Refraction inversion

## Refraction Inversion

Refraction Data ( d )

Minimize  $J = || d - Lm ||^2$

d = first arrival picks

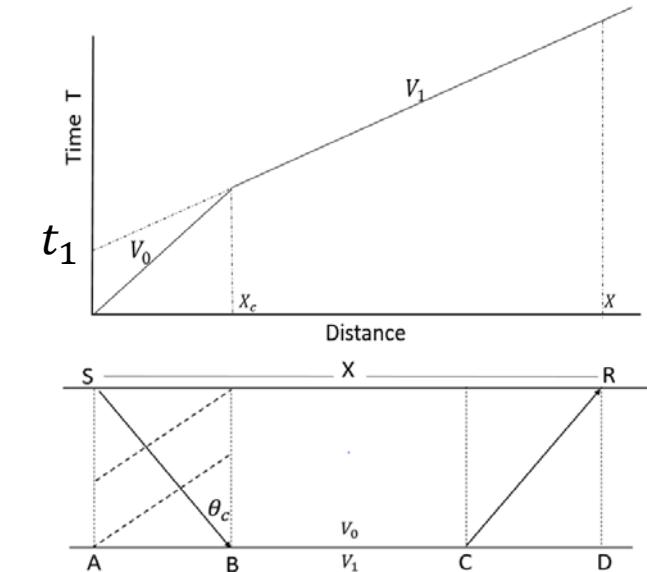
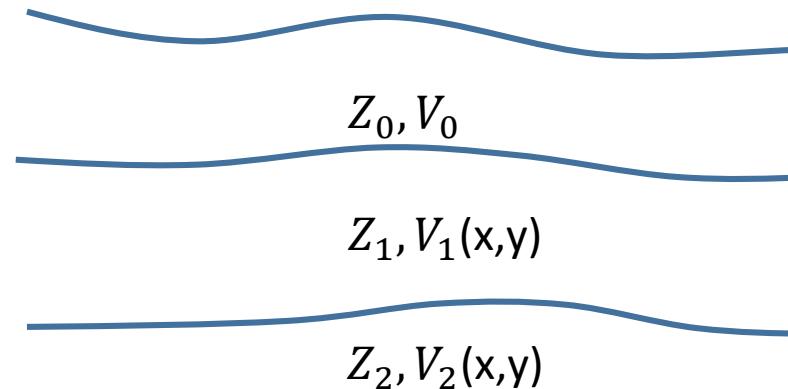
L = forward modeling operator

m = model parameters

**Lm = modeled data**

J = cost function to minimize

## Layer model ( Delay time method)



## Delay time equation

$$T_{SBCR} = \delta SB + \delta CR + ABCD/V_k$$

$$T_{SBCR} = t_k + X/V_k$$

$t_k$  = intercept time

## Layer thickness

$$Z_{k-1} = 0.5 * (t_k - t_{k-1}) \frac{V_k}{\cos(\theta_{c1})}$$

# Refraction inversion

## Refraction Inversion

Refraction Data ( d )

$$\text{Minimize } J = \| d - Lm \|^2$$

d = first arrival picks

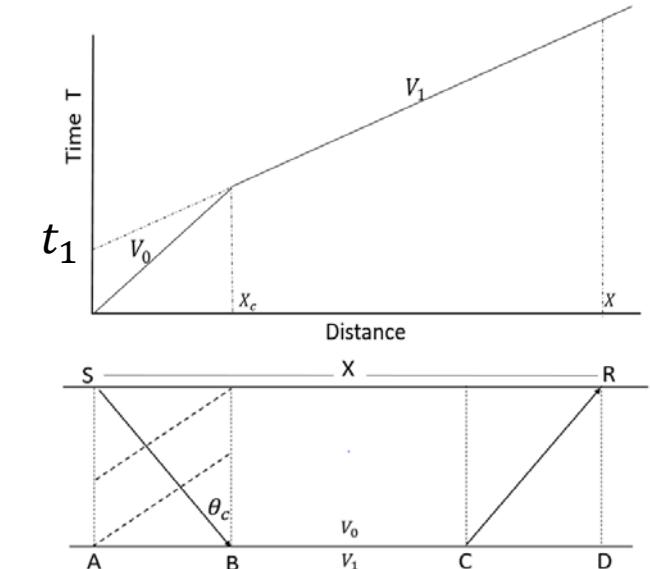
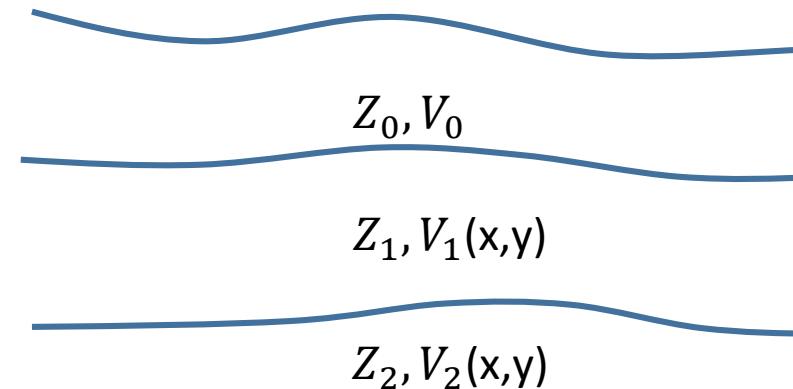
L = forward modeling operator

m = model parameters

**Lm = modeled data**

J = cost function to minimize

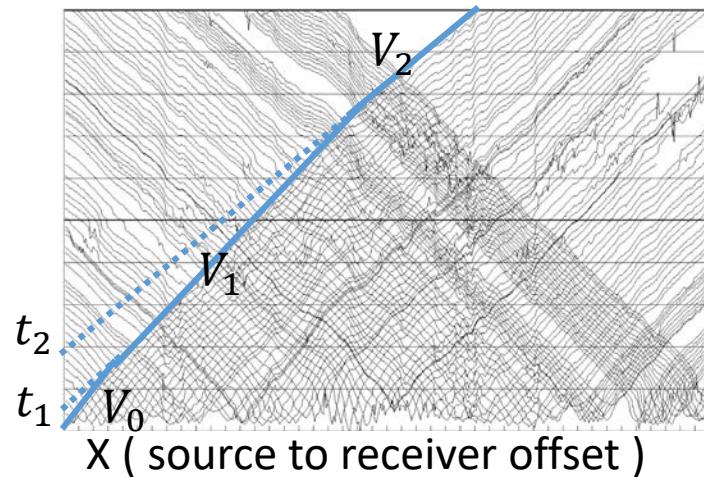
## Layer model ( Delay time method)



## Delay time equation

$$T_{SBCR} = \delta SB + \delta CR + ABCD/V_k$$

$$T_{SBCR} = t_k + X/V_k$$



## Layer thickness

$$Z_{k-1} = 0.5 * (t_k - t_{k-1}) \frac{V_k}{\cos(\theta_{c1})}$$

# Refraction inversion

## Refraction Inversion

Refraction Data ( d )

$$\text{Minimize } J = \| d - Lm \|^2$$

d = first arrival picks

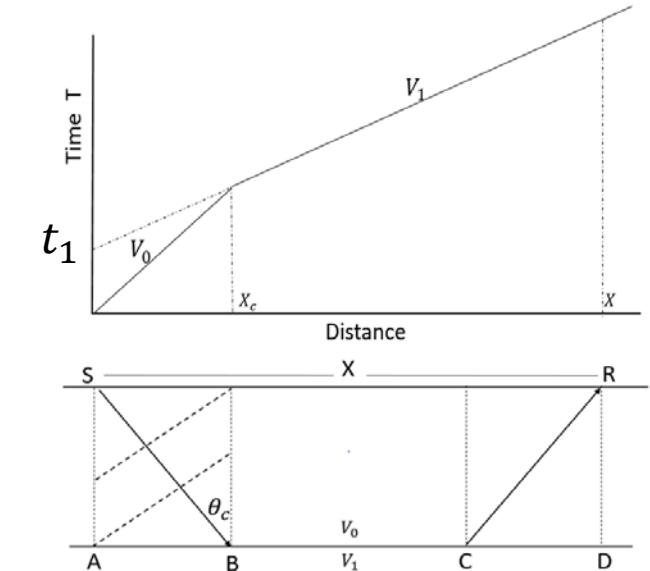
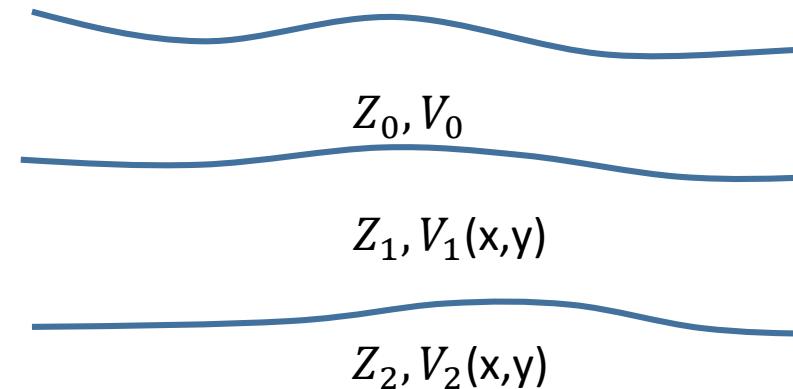
L = forward modeling operator

m = model parameters

**Lm = modeled data**

J = cost function to minimize

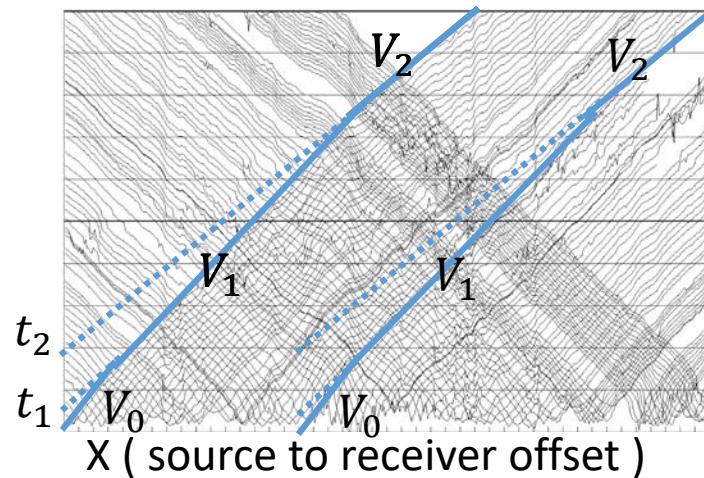
## Layer model ( Delay time method)



## Delay time equation

$$T_{SBCR} = \delta SB + \delta CR + ABCD/V_k$$

$$T_{SBCR} = t_k + X/V_k$$



## Layer thickness

$$Z_{k-1} = 0.5 * (t_k - t_{k-1}) \frac{V_k}{\cos(\theta_{c1})}$$

# Refraction inversion

## Refraction Inversion

Refraction Data ( d )



Minimize  $J = \| d - Lm \|^2$

d = first arrival picks

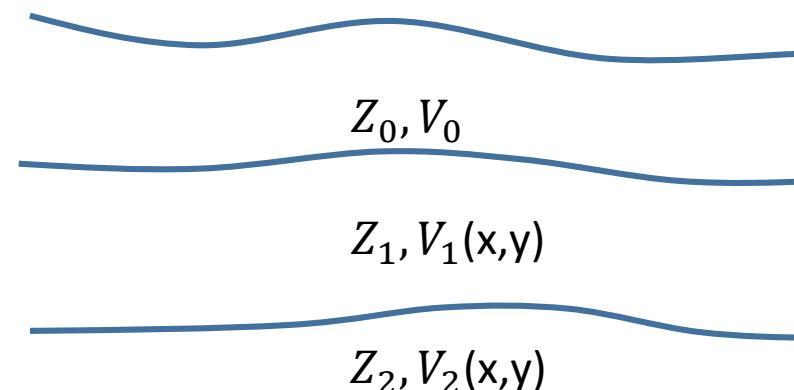
L = forward modeling operator

m = model parameters

**Lm = modeled data**

J = cost function to minimize

## Layer model ( Delay time method)



## Generalized linear inversion

$$\Delta T = B \Delta M$$

$$\Delta T = d - Lm$$

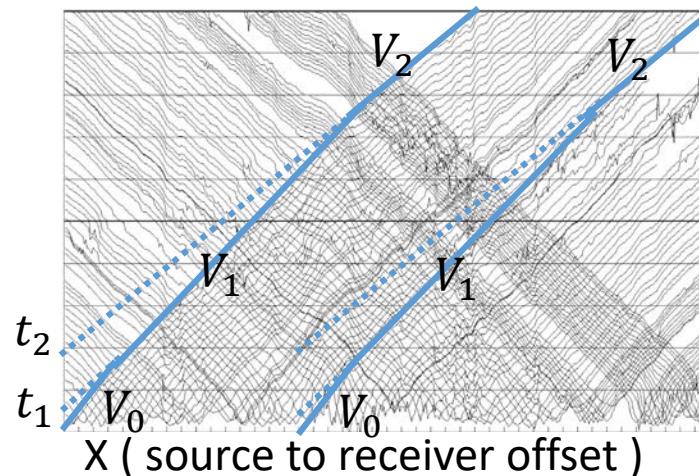
$$B = \partial T / \partial m$$

$$\Delta M = M^{l+1} - M^l$$

Least square solution:

$$M = (B^T B)^{-1} B^T T$$

Hampson and Russell 1984



# Refraction inversion

## Refraction Inversion

Refraction Data ( d )

$$\text{Minimize } J = \| d - Lm \|^2$$

d = first arrival picks

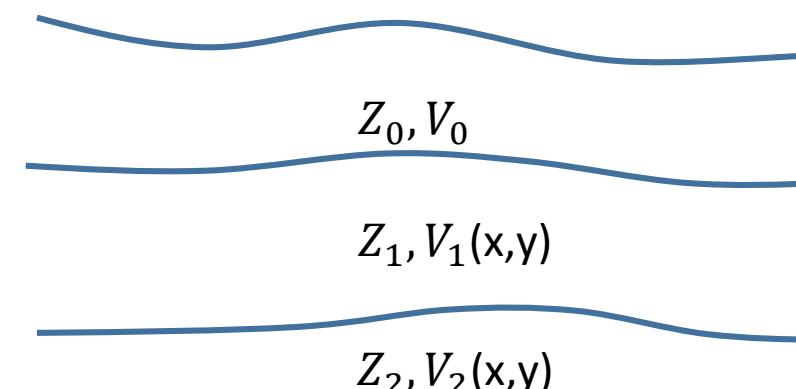
L = forward modeling operator

m = model parameters

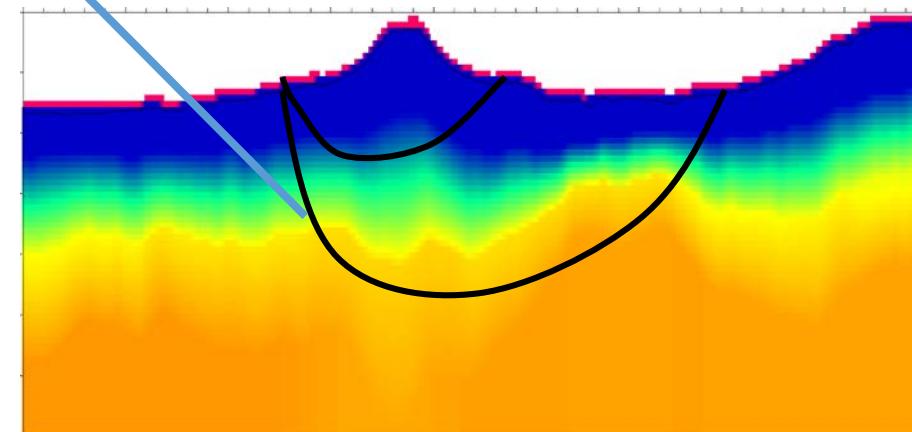
**Lm = modeled data**

J = cost function to minimize

## Layer model ( Delay time method)



## Grid model ( Refraction Tomography)



## Generalized linear inversion

$$\Delta T = B \Delta M$$

$$\Delta T = d - Lm$$

$$B = \partial T / \partial m$$

$$\Delta M = M^{l+1} - M^l$$

Least square solution:

$$M = (B^T B)^{-1} B^T T$$

Hampson and Russell 1984

# Refraction inversion

## Refraction Inversion

Refraction Data ( d )

$$\text{Minimize } J = \| d - Lm \|^2$$

d = first arrival picks

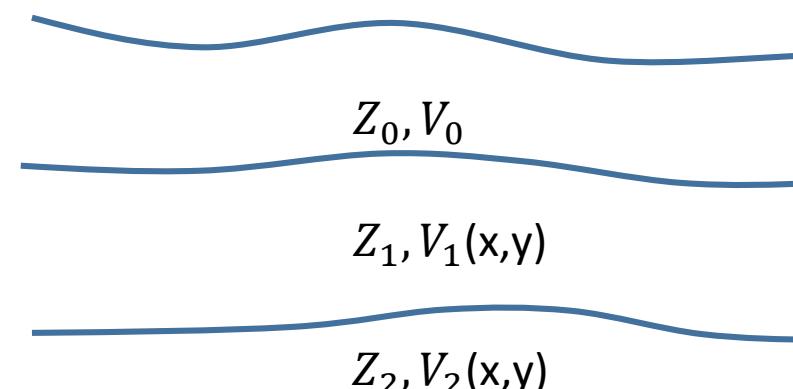
L = forward modeling operator

m = model parameters

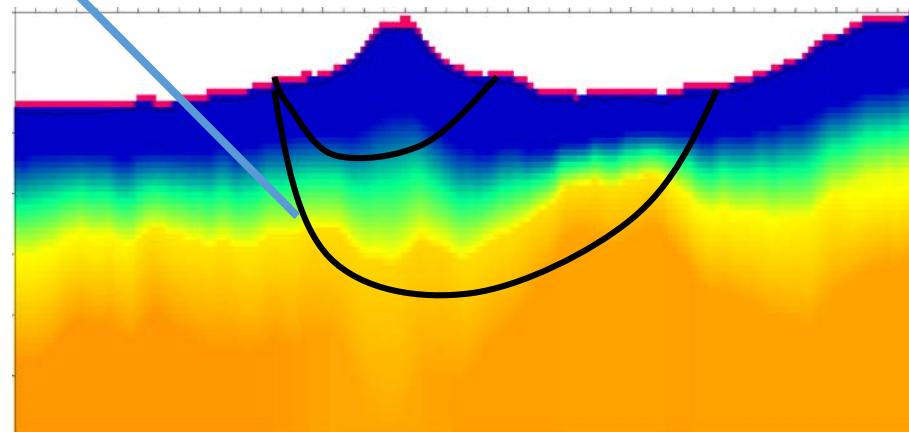
**Lm = modeled data**

J = cost function to minimize

## Layer model ( Delay time method)



## Grid model ( Refraction Tomography)



## Generalized linear inversion

$$\Delta T = B \Delta M$$

$$\Delta T = d - Lm$$

$$B = \partial T / \partial m$$

$$\Delta M = M^{l+1} - M^l$$

Least square solution:

$$M = (B^T B)^{-1} B^T T$$

Hampson and Russell 1984

## Refraction tomography

$$G \underline{m} = \underline{d}$$

$$G = \begin{bmatrix} L_{1,1} & L_{1,2} & L_{1,3} & L_{1,4} & L_{1,5} & \dots & L_{1,m} \\ L_{2,1} & L_{2,2} & L_{2,3} & L_{2,4} & L_{2,5} & \dots & L_{2,m} \\ L_{3,1} & L_{3,2} & L_{3,3} & L_{3,4} & L_{3,5} & \dots & L_{3,m} \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ L_{n,1} & L_{n,2} & L_{n,3} & L_{n,4} & L_{n,5} & \dots & L_{n,m} \end{bmatrix}$$

$$\underline{m} = \begin{bmatrix} \Delta M_1 \\ \Delta M_2 \\ \Delta M_3 \\ \vdots \\ \Delta M_m \end{bmatrix}$$

$$\underline{d} = \begin{bmatrix} \Delta T_1 \\ \Delta T_2 \\ \Delta T_3 \\ \vdots \\ \Delta T_n \end{bmatrix}$$

$$\underline{m} = (\underline{G}^T \underline{G})^{-1} \underline{G}^T \underline{d}$$

# Refraction inversion

## Refraction Inversion

Refraction Data ( d )

$$\text{Minimize } J = \| d - Lm \|^2$$

d = first arrival picks

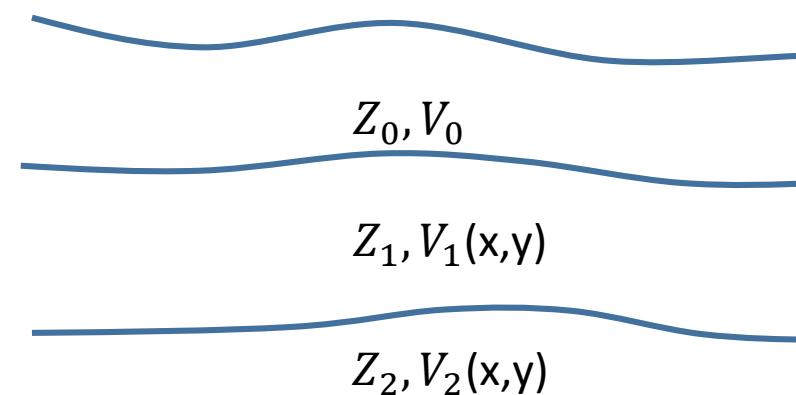
L = forward modeling operator

m = model parameters

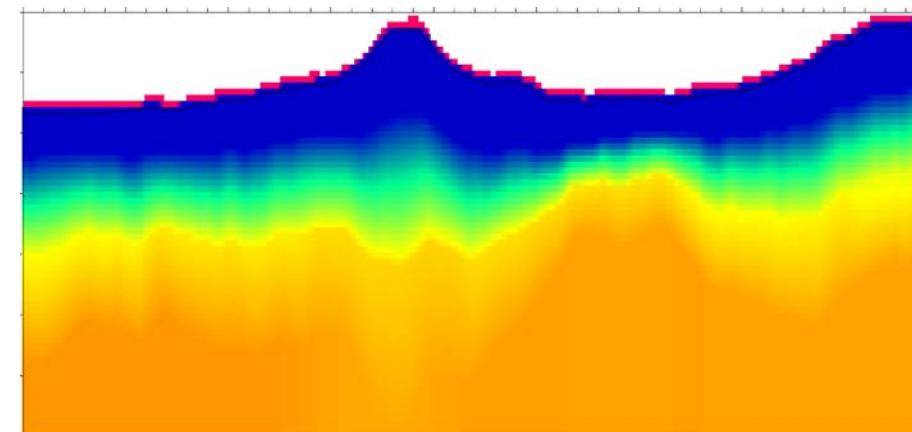
**Lm = modeled data**

J = cost function to minimize

## Layer model ( Delay time method)



## Grid model ( Refraction Tomography)



## Weathering correction for near-surface effects

$$C_{wx} = \sum_{i=0}^{n-1} \left( \frac{Z_i}{V_r} - \frac{Z_i}{V_i} \right)$$

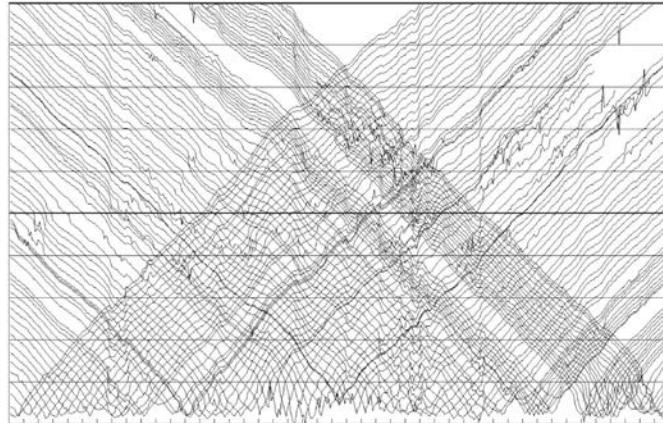
$C_{wx}$  = Weathering correction

$V_r$  = Replacement velocity

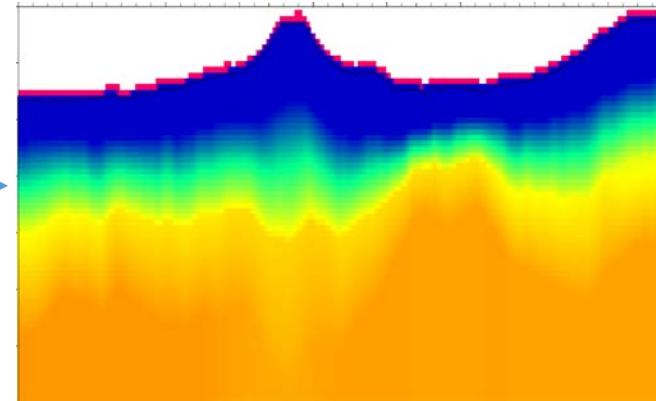
n = Number of layers or depth levels

# Refraction inversion

Refraction Data

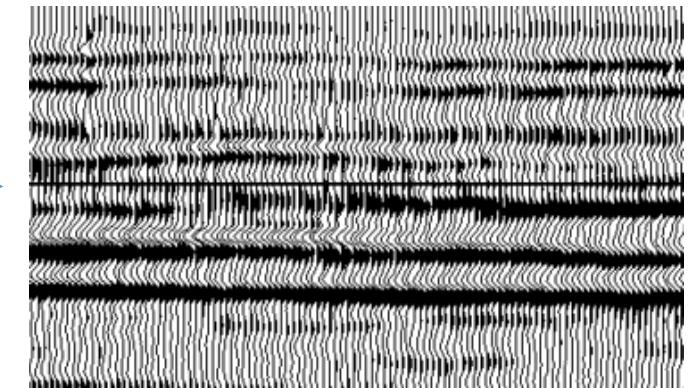


Refraction Inversion



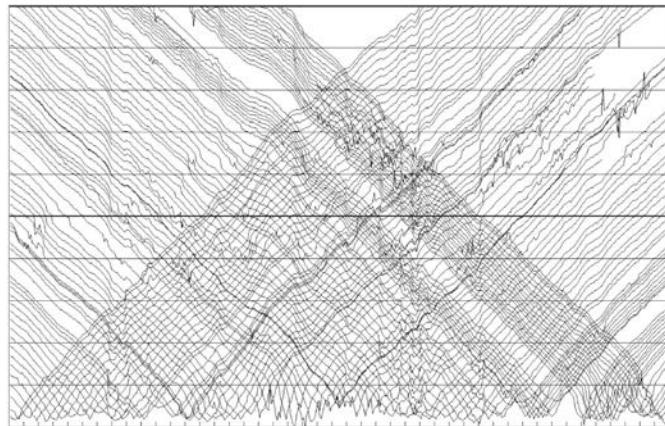
$C_{wx}$

CDP stack

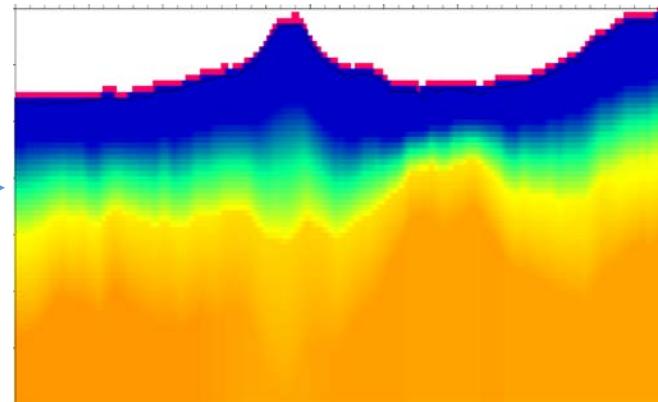


# Refraction inversion using reflection data

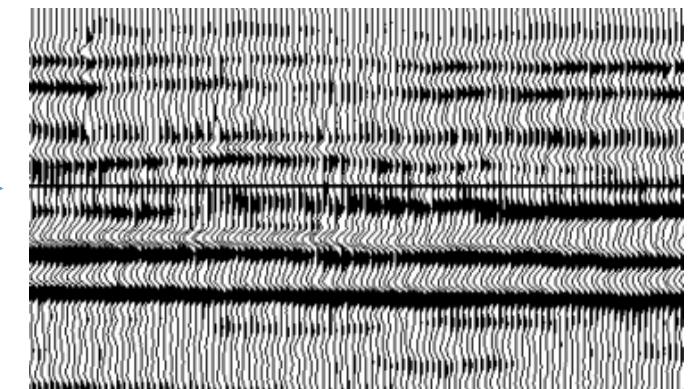
Refraction Data



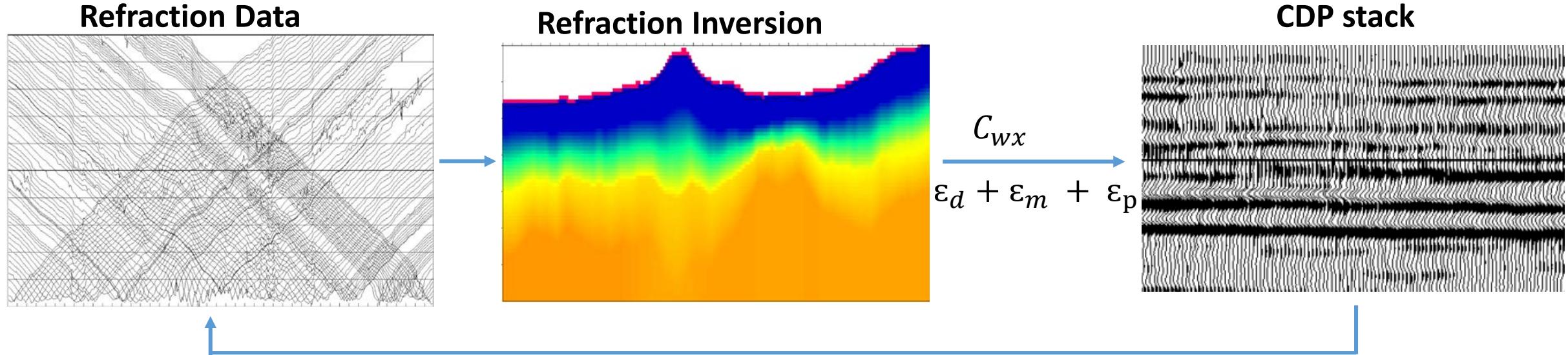
Refraction Inversion

 $C_{wx}$ 

CDP stack



# Refraction inversion using reflection data

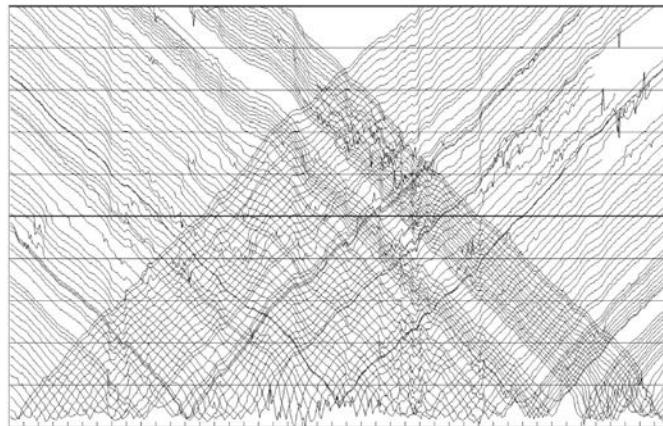


Some of the problems in the refraction solution can be caused by:

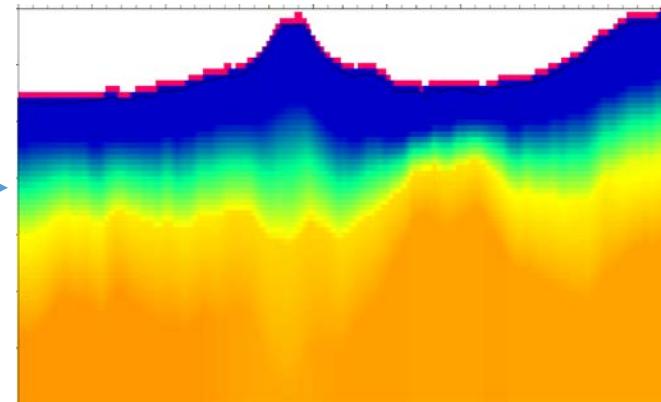
- First break picking errors ( $\varepsilon_d$ )
- Suboptimal inversion parameters and starting model ( $\varepsilon_m$ )
- Insufficient sampling ( $\varepsilon_p$ )
- Over-simplified assumptions used in refraction inversion algorithm ( $\varepsilon_p$ )

# Refraction inversion using reflection data

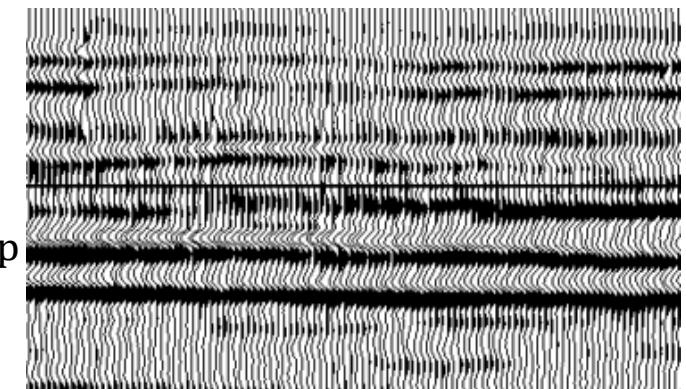
Refraction Data



Refraction Inversion



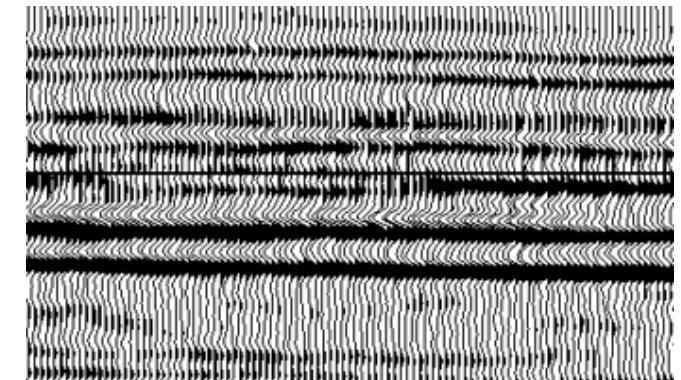
CDP stack



$C_{wx}$

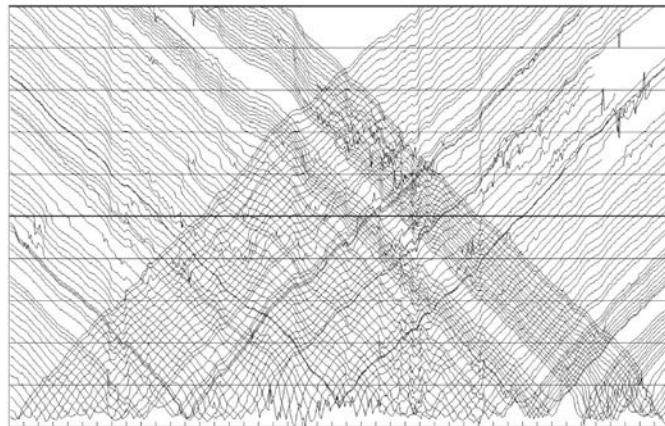
$\varepsilon_d + \varepsilon_m + \varepsilon_p$

Surface-consistent residual statics  
by reflection correlation

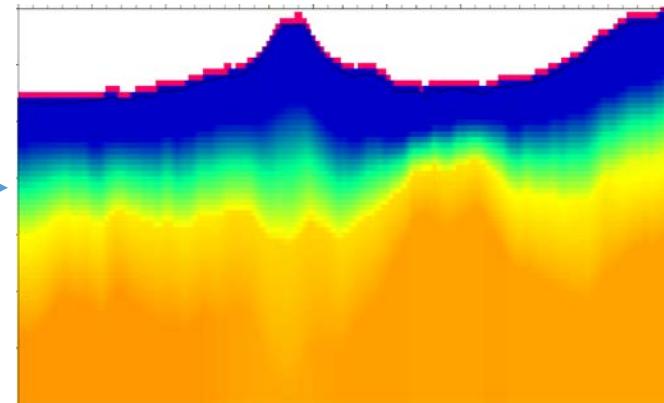


# Refraction inversion using reflection data

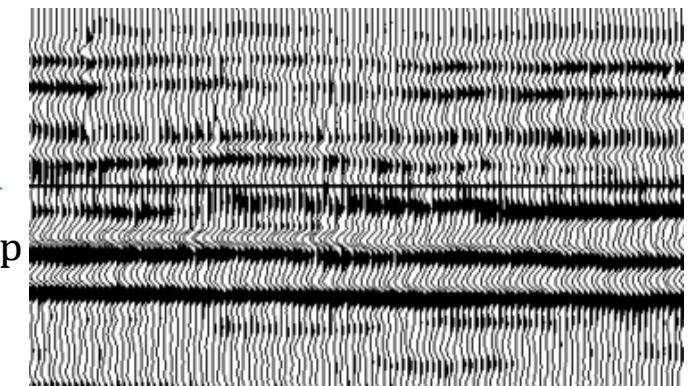
Refraction Data



Refraction Inversion



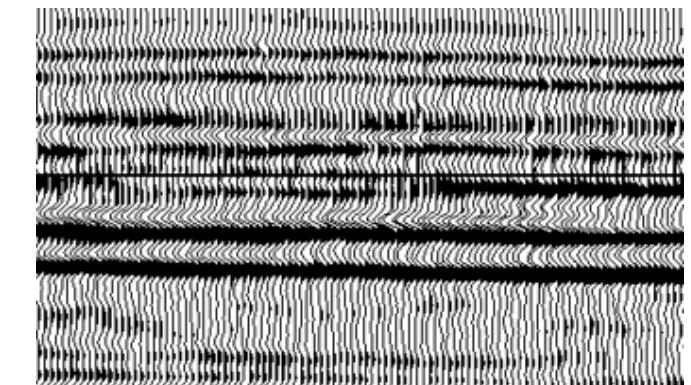
CDP stack



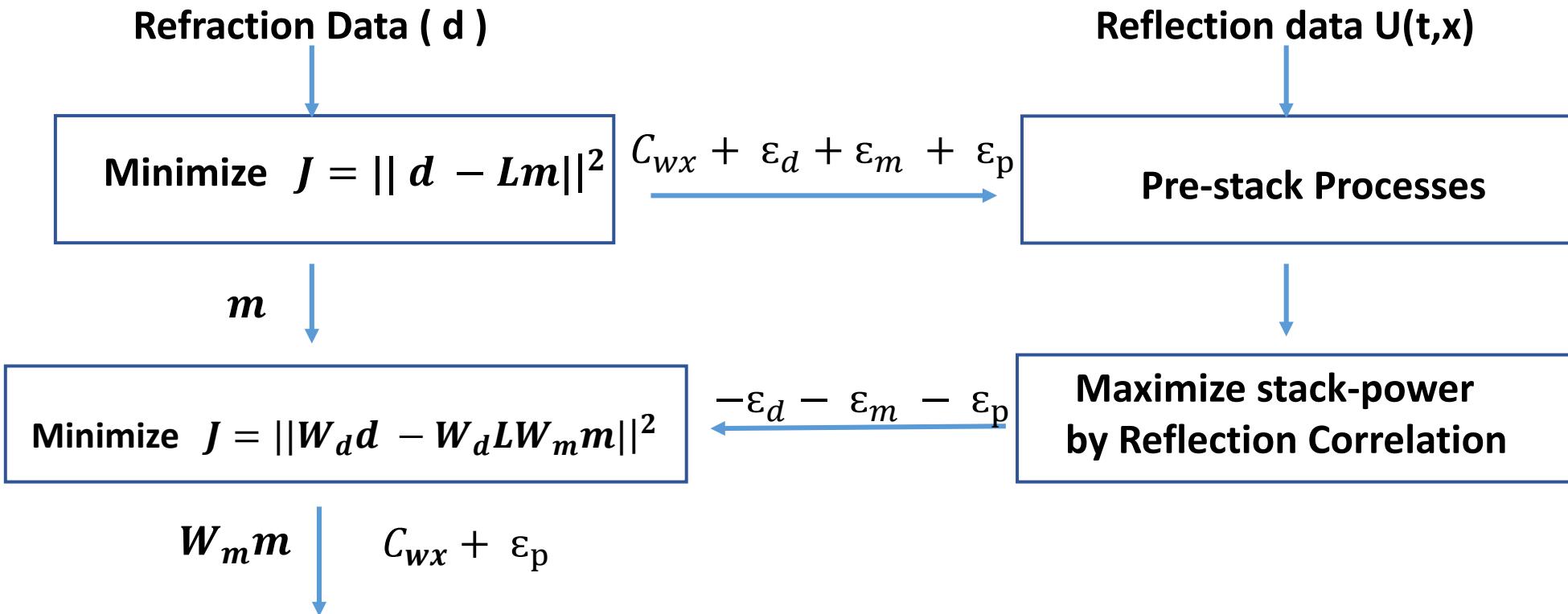
$C_{wx}$

$\varepsilon_d + \varepsilon_m + \varepsilon_p$

Surface-consistent residual statics  
by reflection correlation



# Non-linear optimization of near surface velocity model using reflection data

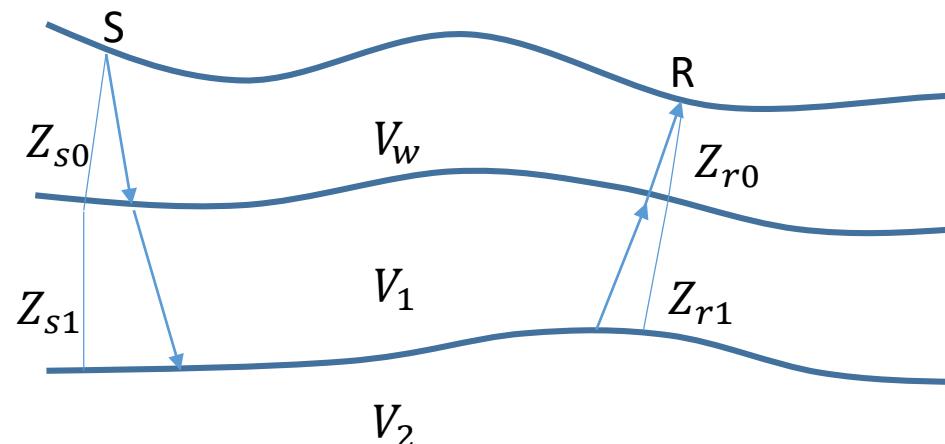


$W_m$  = *model weight*

$W_d$  = *data weight*

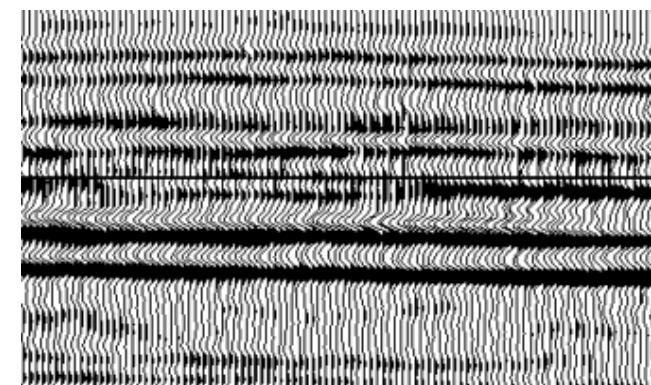
# Computing model weight $W_m$

## Refraction Inversion

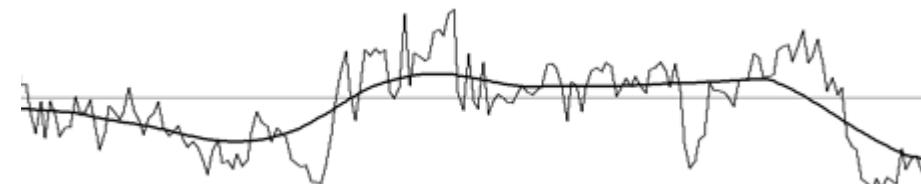


## Weathering statics correction for layer i :

$$T_i = \frac{Z_i}{V_r} - \frac{Z_i}{V_i}$$

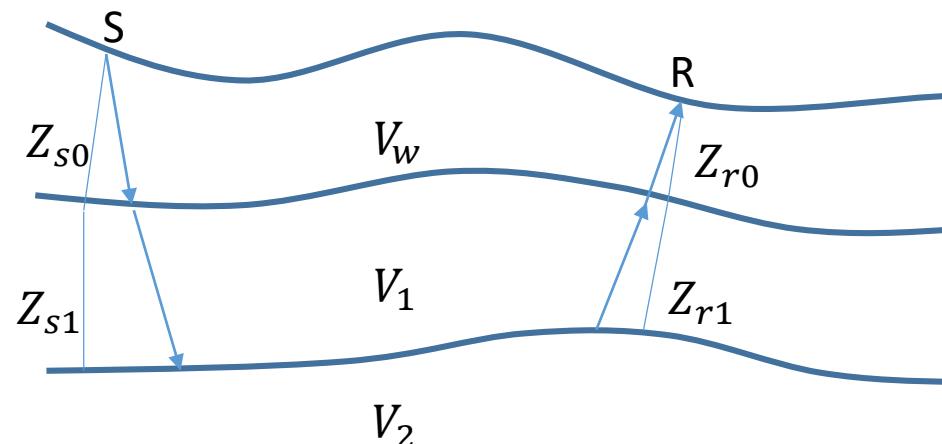


*surface-consistent  
reflection residual  
statics*



# Computing model weight $W_m$

## Refraction Inversion

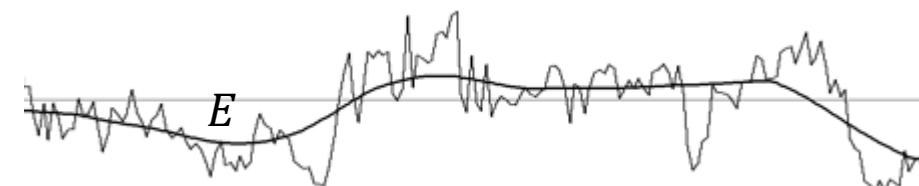
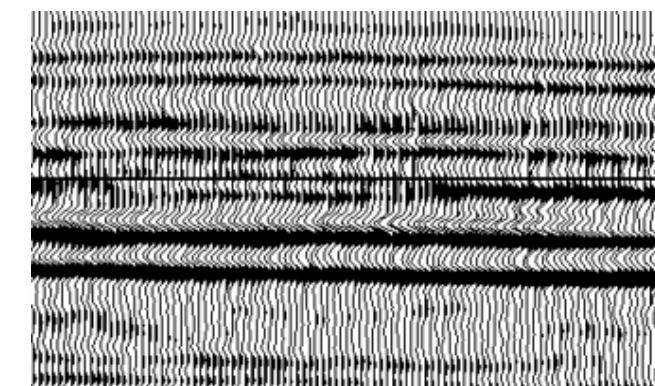


## Weathering statics correction for layer $i$ :

$$T_i = \frac{Z_i}{V_r} - \frac{Z_i}{V_i}$$

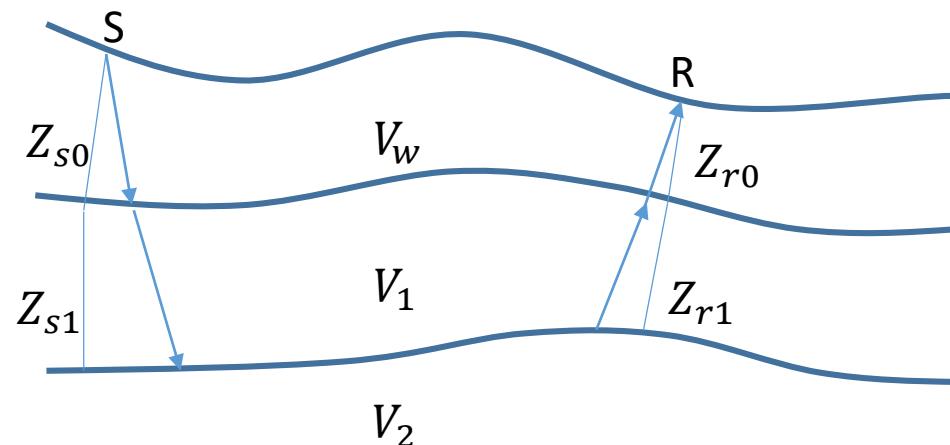
$E$  = smoothed (long wavelength) residual statics

$$E_i = E - \frac{Z_i}{\text{Total thickness}}$$



# Computing model weight $W_m$

## Refraction Inversion



## Weathering statics correction for layer i :

$$T_i = \frac{Z_i}{V_r} - \frac{Z_i}{V_i}$$

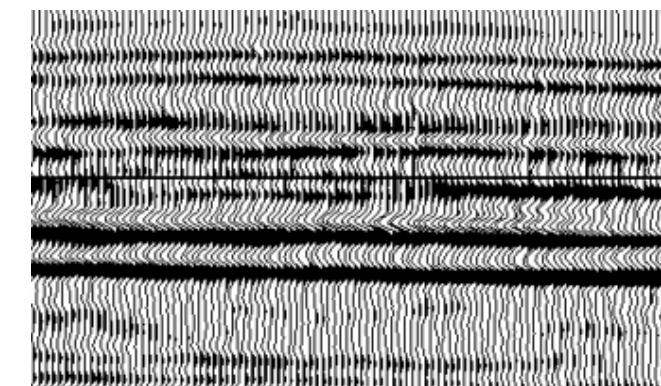
$$T_i + E_i = \frac{Z_i}{V_r} - Z_i P_i W_{mi}$$

$E$  = smoothed (long wavelength) residual statics

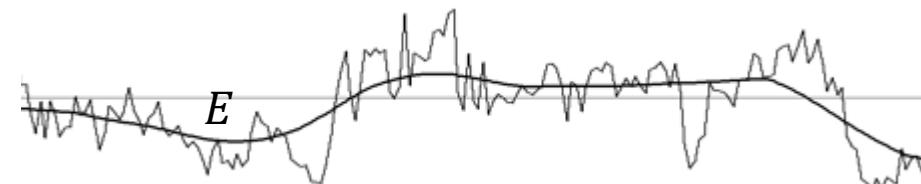
$$E_i = E - \frac{Z_i}{\text{Total thickness}}$$

Define  $P_i = 1 / V_i$

$W_{mi}$  = slowness model weight for layer  $i$

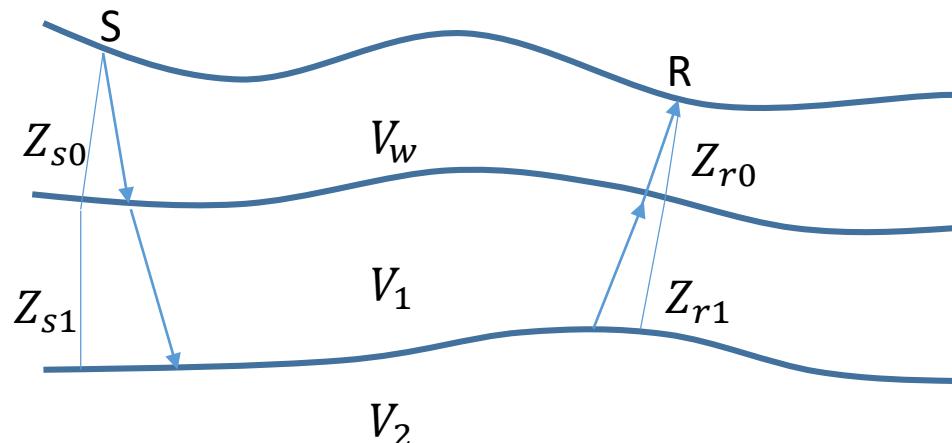


*surface-consistent  
reflection residual  
statics*



# Computing model weight $W_m$

## Refraction Inversion



## Weathering statics correction for layer i :

$$T_i = \frac{Z_i}{V_r} - \frac{Z_i}{V_i}$$

$$T_i + E_i = \frac{Z_i}{V_r} - Z_i P_i W_{mi}$$

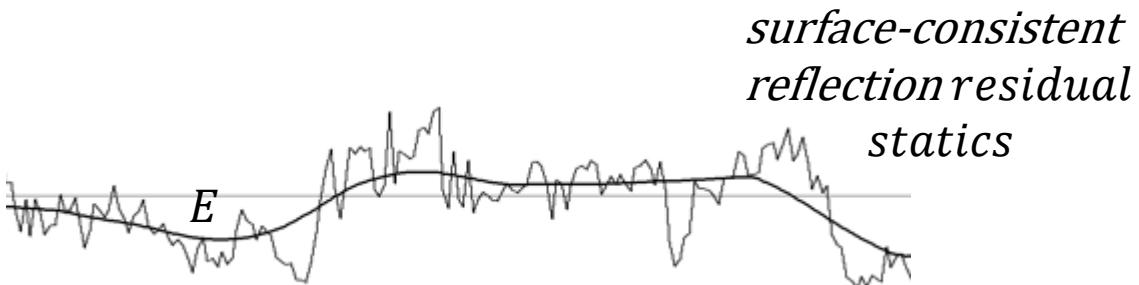
$$W_{mi} = 1 - E_i / (Z_i P_i)$$

$E$  = smoothed (long wavelength) residual statics

$$E_i = E \frac{Z_i}{\text{Total thickness}}$$

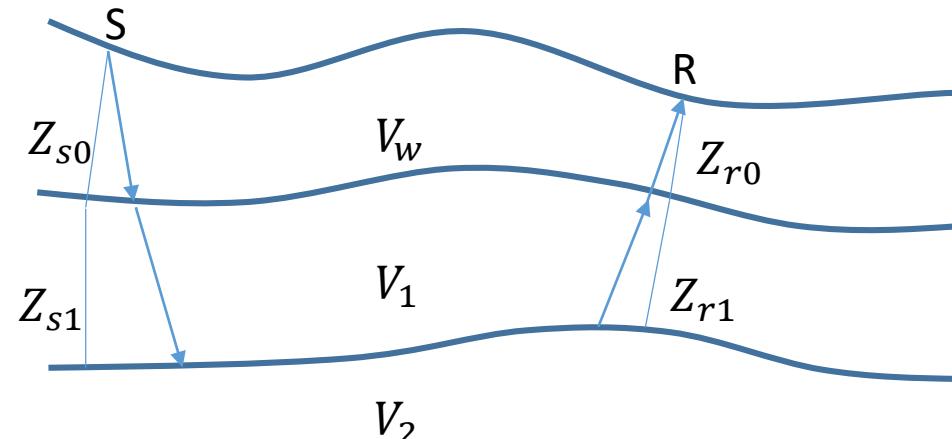
Define  $P_i = 1 / V_i$

$W_{mi}$  = slowness model weight for layer  $i$



# Computing model weight $W_m$

## Refraction Inversion



## Weathering statics correction for layer $i$ :

$$T_i = \frac{Z_i}{V_r} - \frac{Z_i}{V_i}$$

$$T_i + E_i = \frac{Z_i}{V_r} - Z_i P_i W_{mi}$$

$$W_{mi} = 1 - E_i / (Z_i P_i)$$

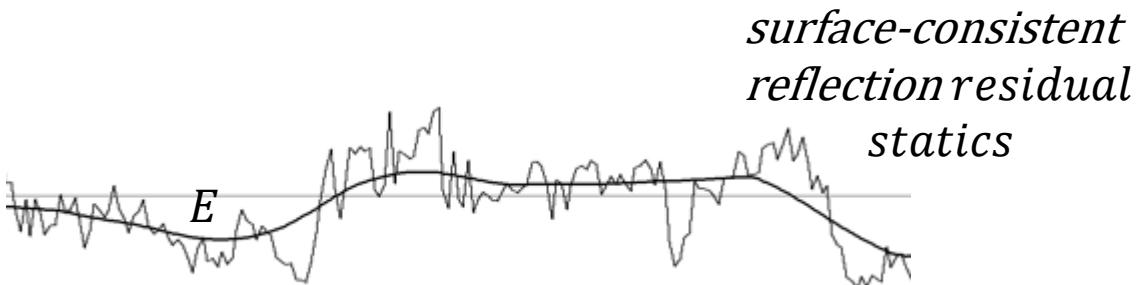
$$W_{mi}(\text{thickness}) = 1 + E_i / T_i$$

$E$  = smoothed (long wavelength) residual statics

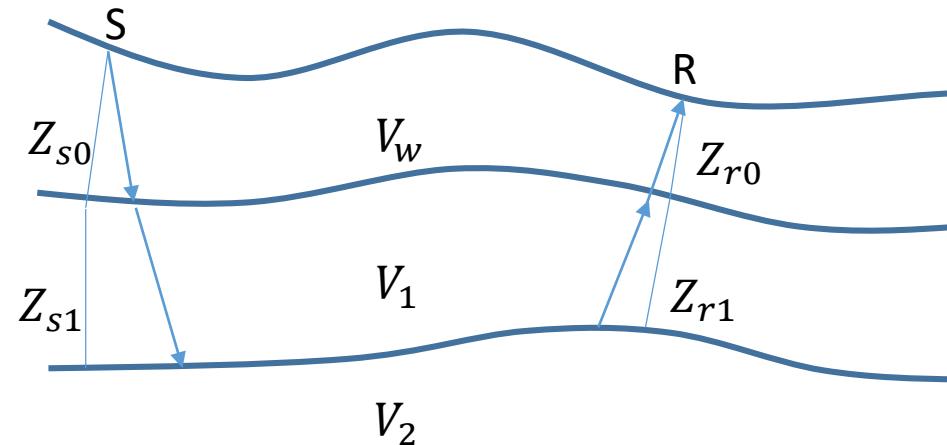
$$E_i = E \frac{Z_i}{\text{Total thickness}}$$

Define  $P_i = 1 / V_i$

$W_{mi}$  = slowness model weight for layer  $i$



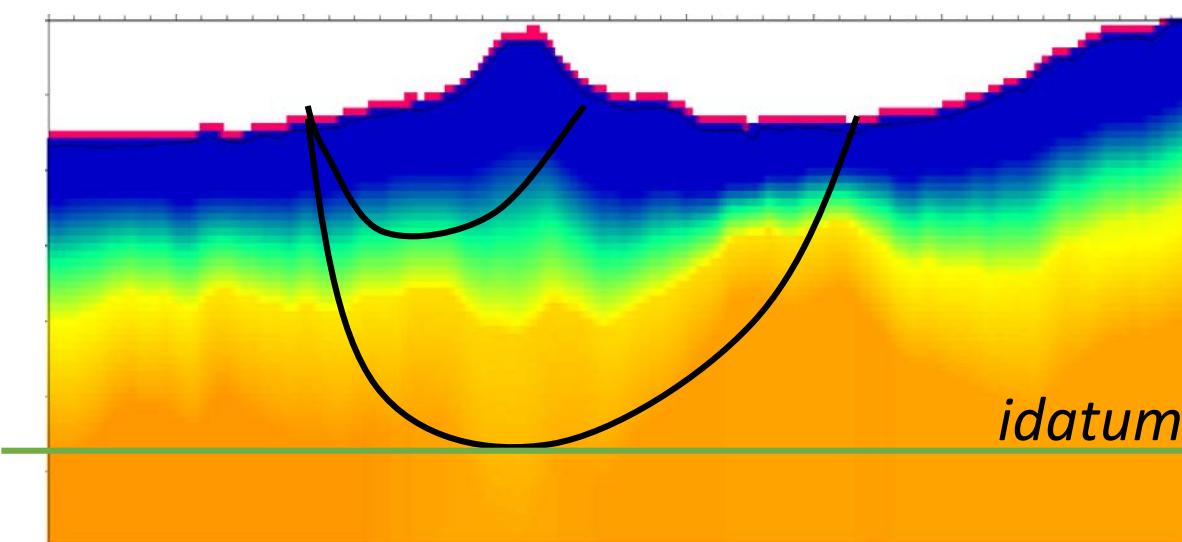
# Computing model weight $W_m$



$$W_{mi} = 1 - E_i / (Z_i / V_i)$$

$$W_{mi}(\text{thickness}) = 1 + E_i / T_i$$

## Refraction Tomography



Define : Weathering statics correction:

$$T = \sum_{iz=1}^{idatum} \left( \frac{1}{V_r} - m_{iz} \right) dz$$

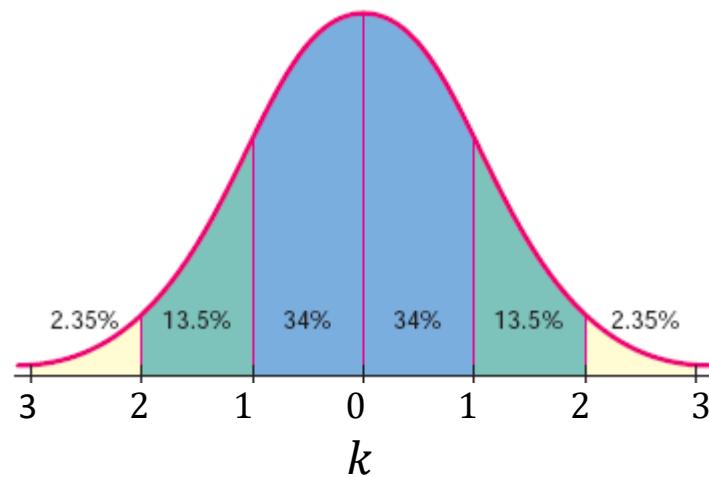
$$W_m = 1 - E/T , \quad W_m(\text{thickness}) = 1 + E/T$$

$E$  = smoothed (long wavelength) residual statics

# Data weight $W_d$

$$J = || \mathbf{W}_d \mathbf{d} - \mathbf{W}_d \mathbf{L} \mathbf{W}_m \mathbf{m} ||^2$$

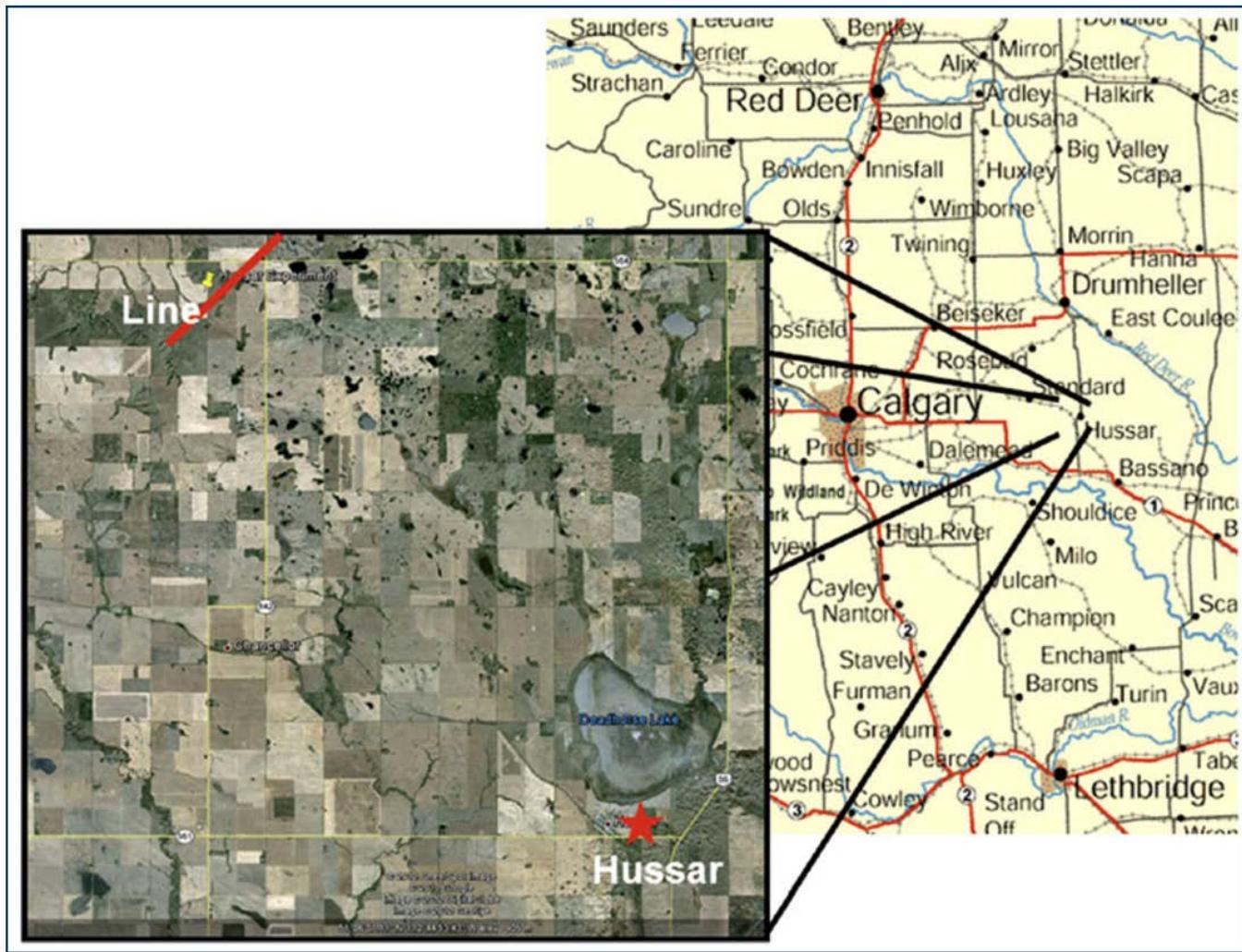
$$W_d = \begin{cases} 0 & \delta t > K \times std(\delta t) \\ 1 & otherwise \end{cases}$$



Where:

- $\delta t = d - LW_m m$
- $std(\delta t)$  = standard deviation of  $\delta t$
- $K$  = multiplier for standard deviation of first arrival residual
- $K = 1$  keeps 68 % of data
- $K = 2$  keeps 95 % of data

# Field data example ( Hussar 2D )

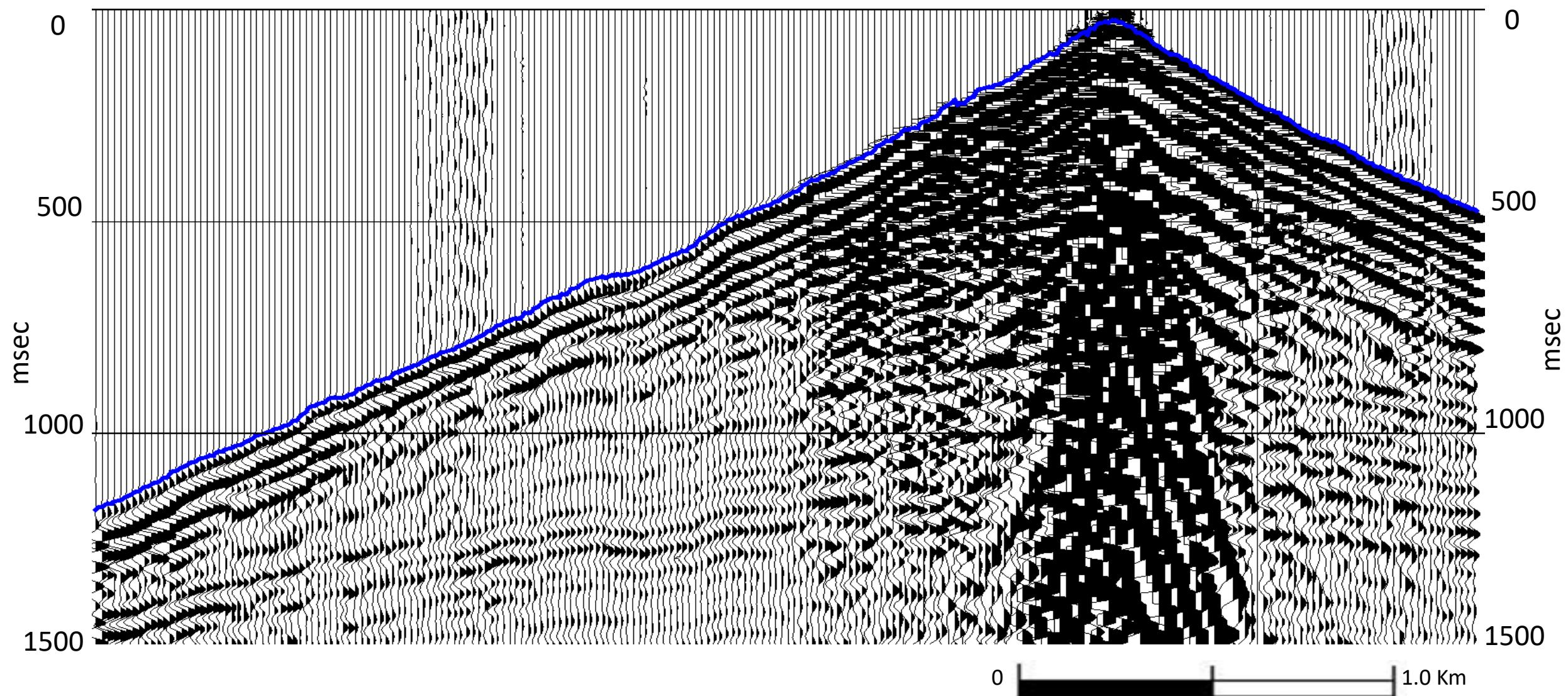


# Shot record 447

Receiver 117

447

564

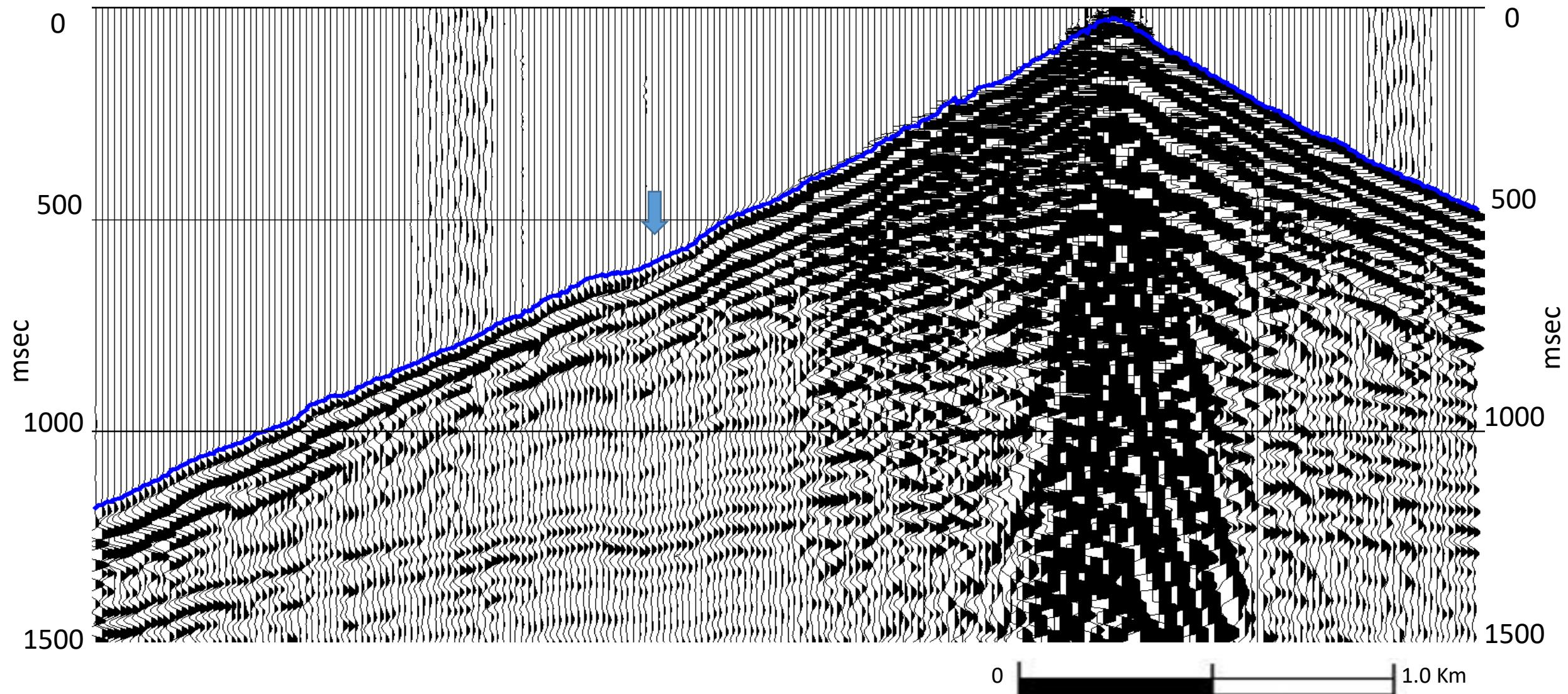


# Shot record 447

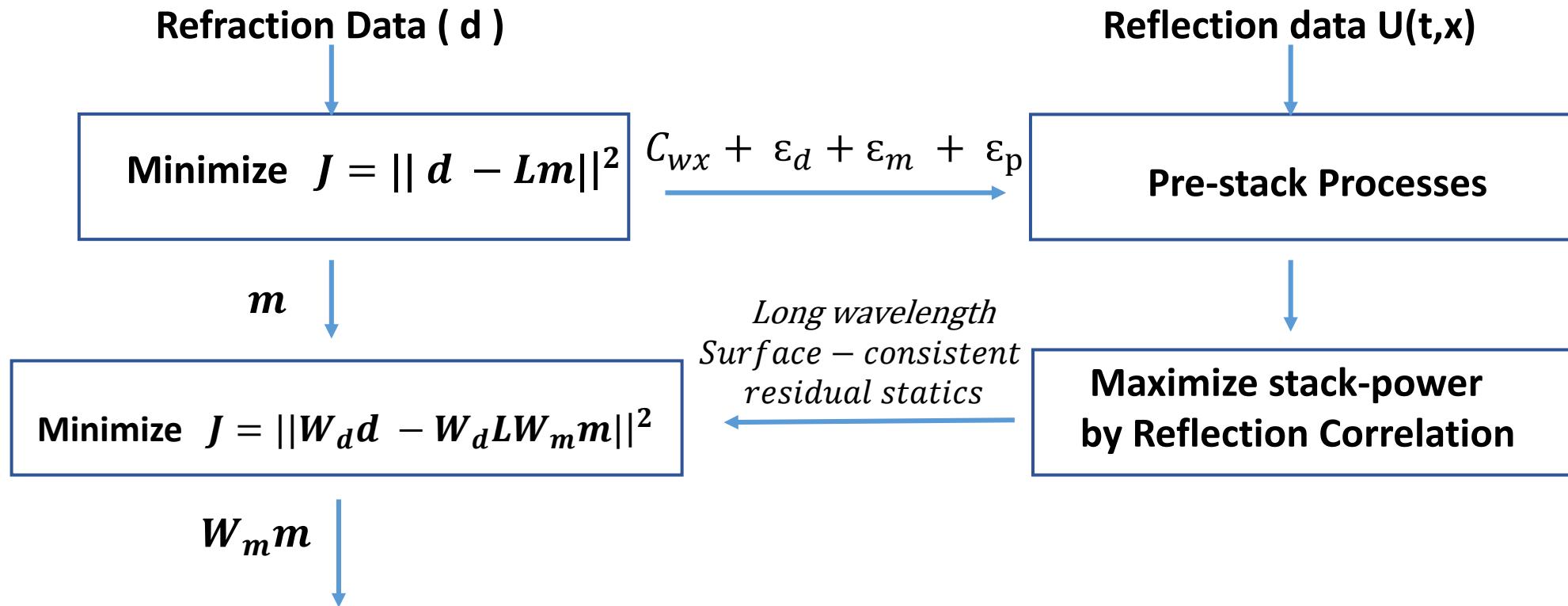
Receiver 117

447

564



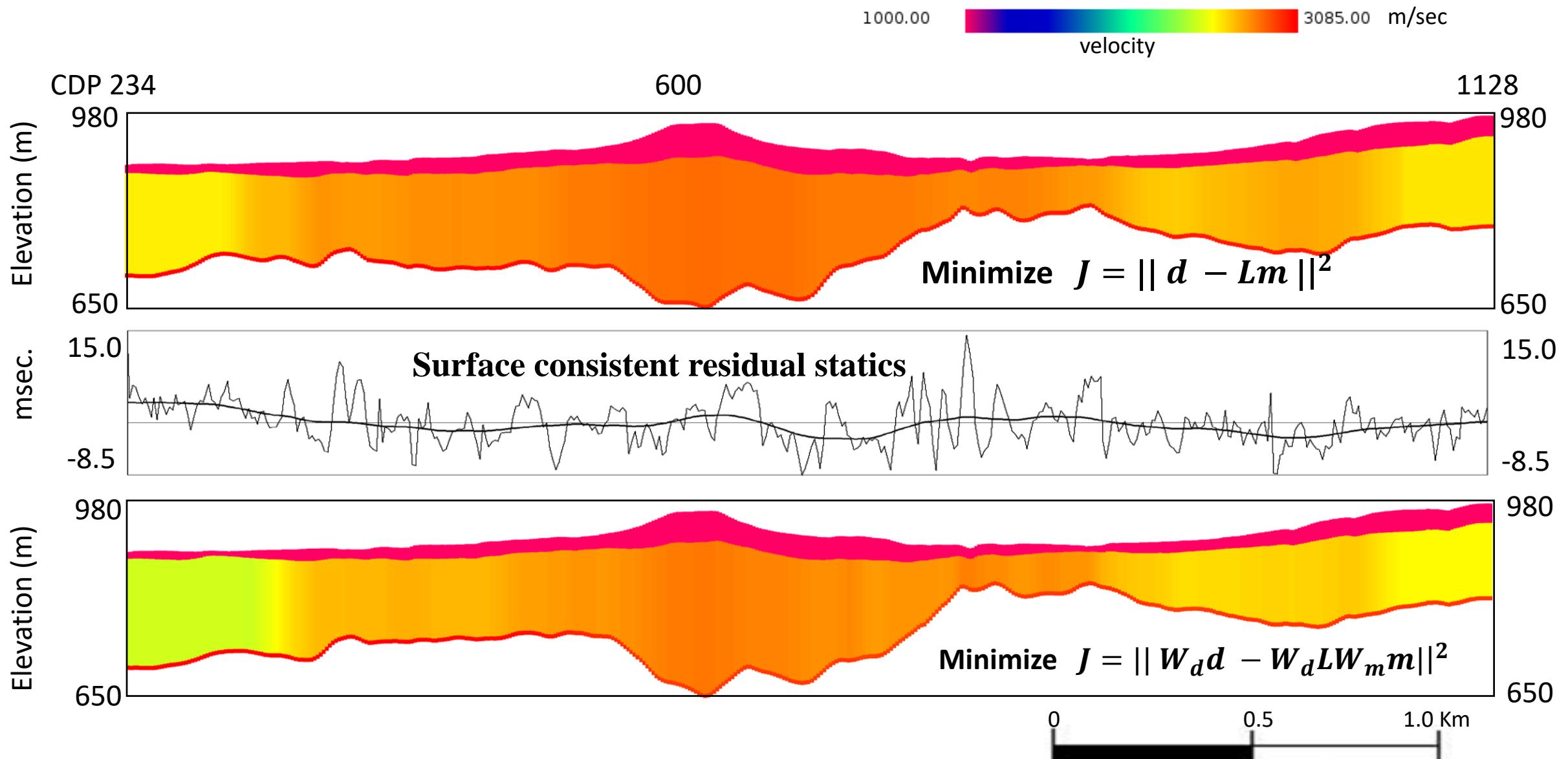
# Non-linear optimization of near surface velocity model using reflection data



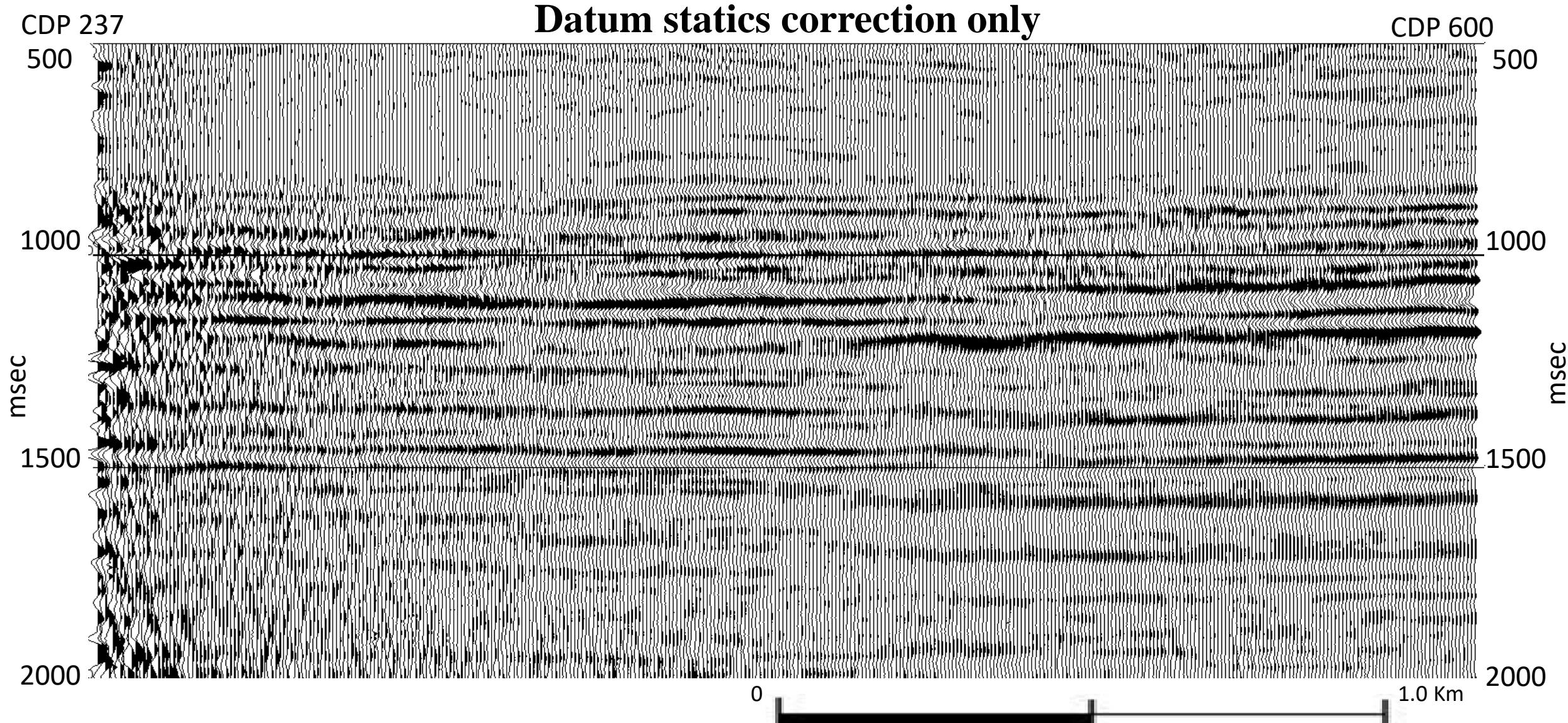
$W_m$  = *model weight*

$W_d$  = *data weight*

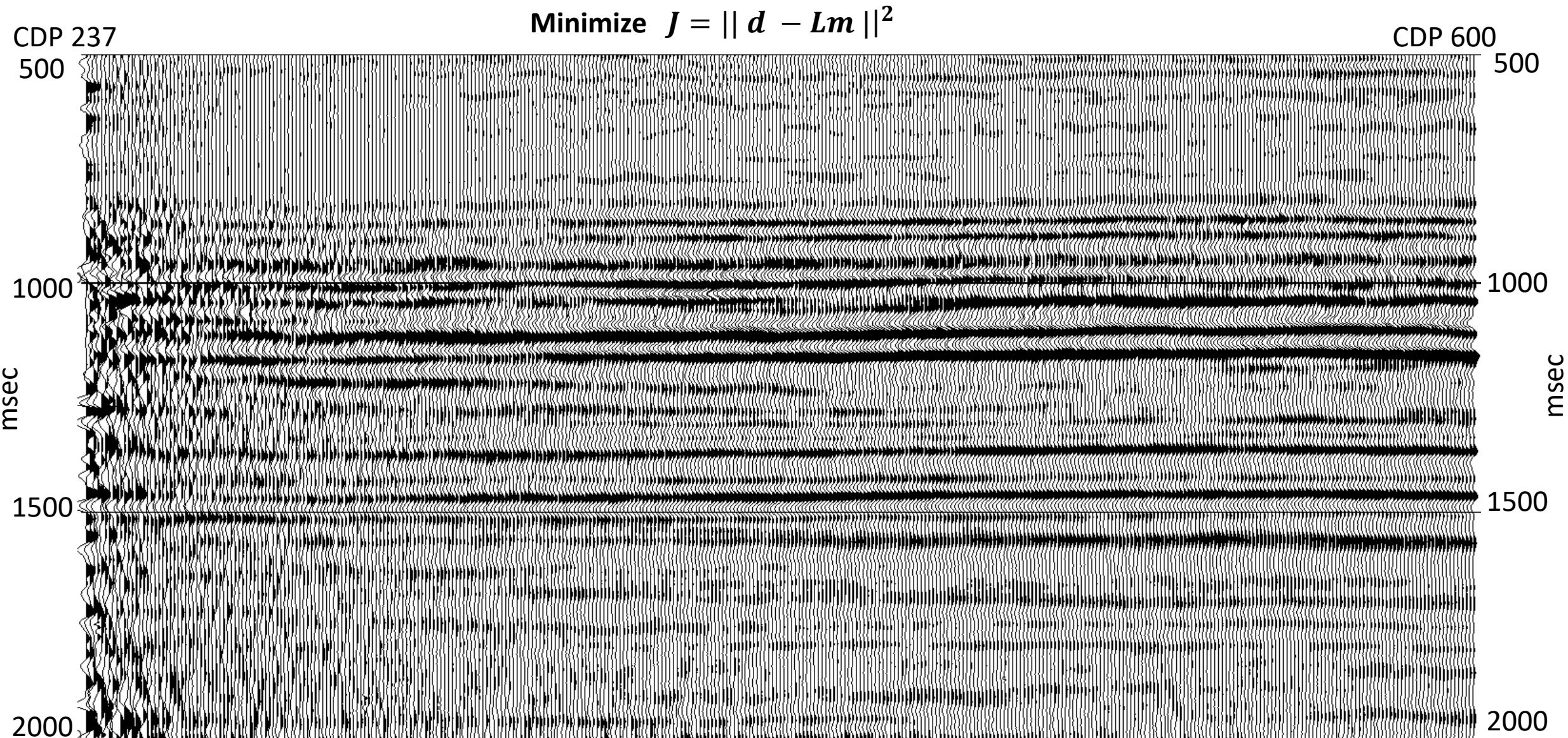
# GLI solutions



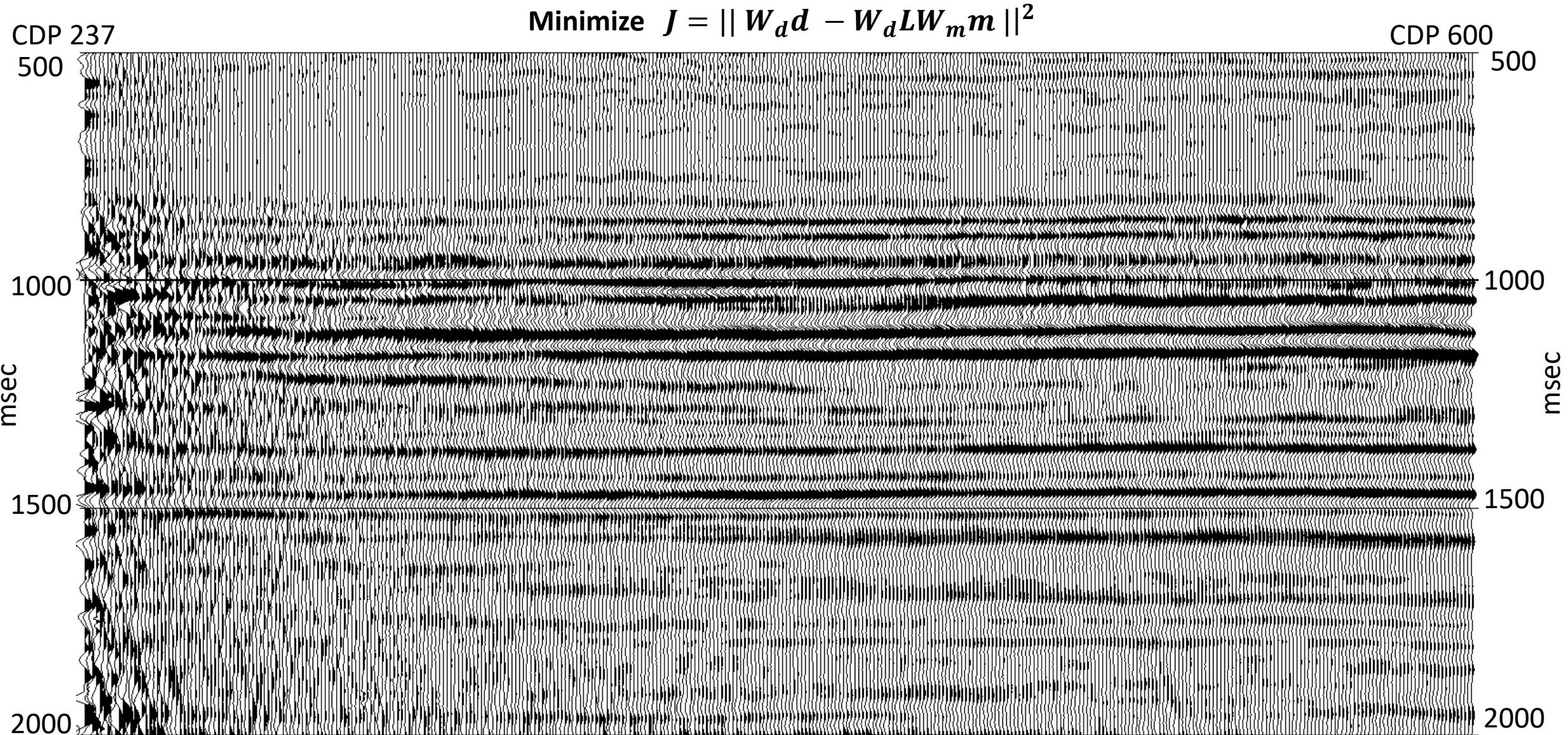
# CDP stack



# GLI Stack



# GLI Stack



# Refraction tomography solutions



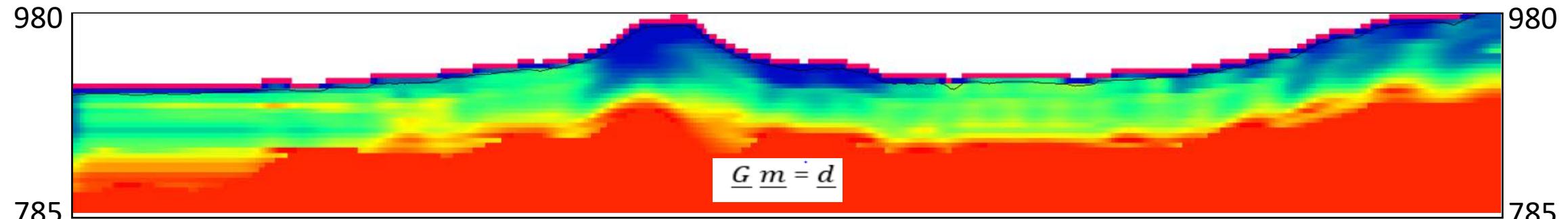
CDP 234

600

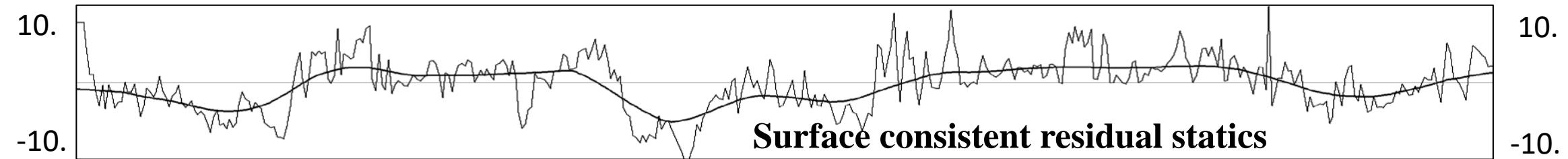
1128

980

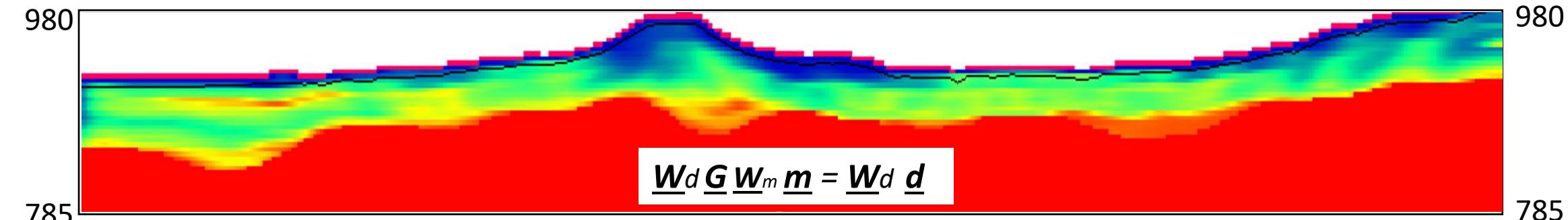
Elevation (m)



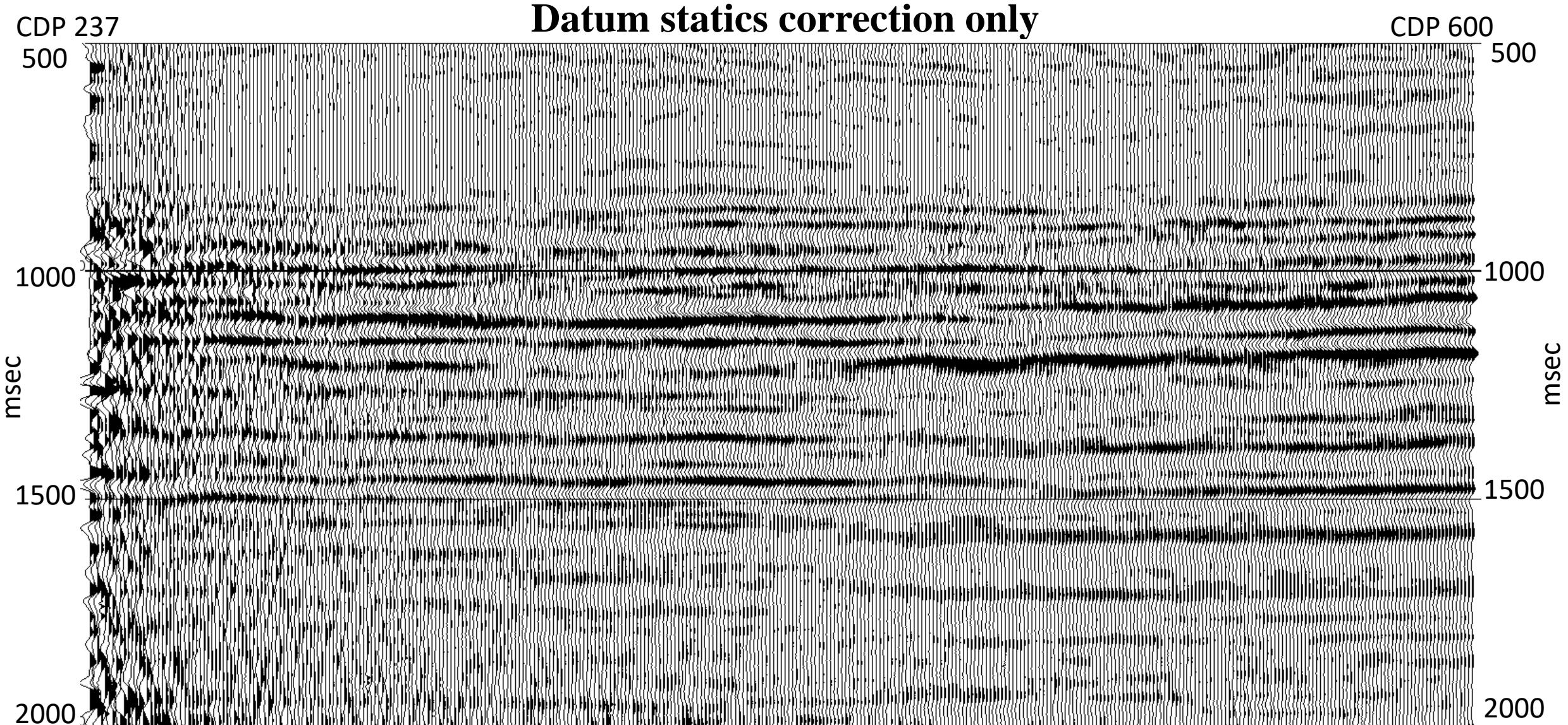
msec.



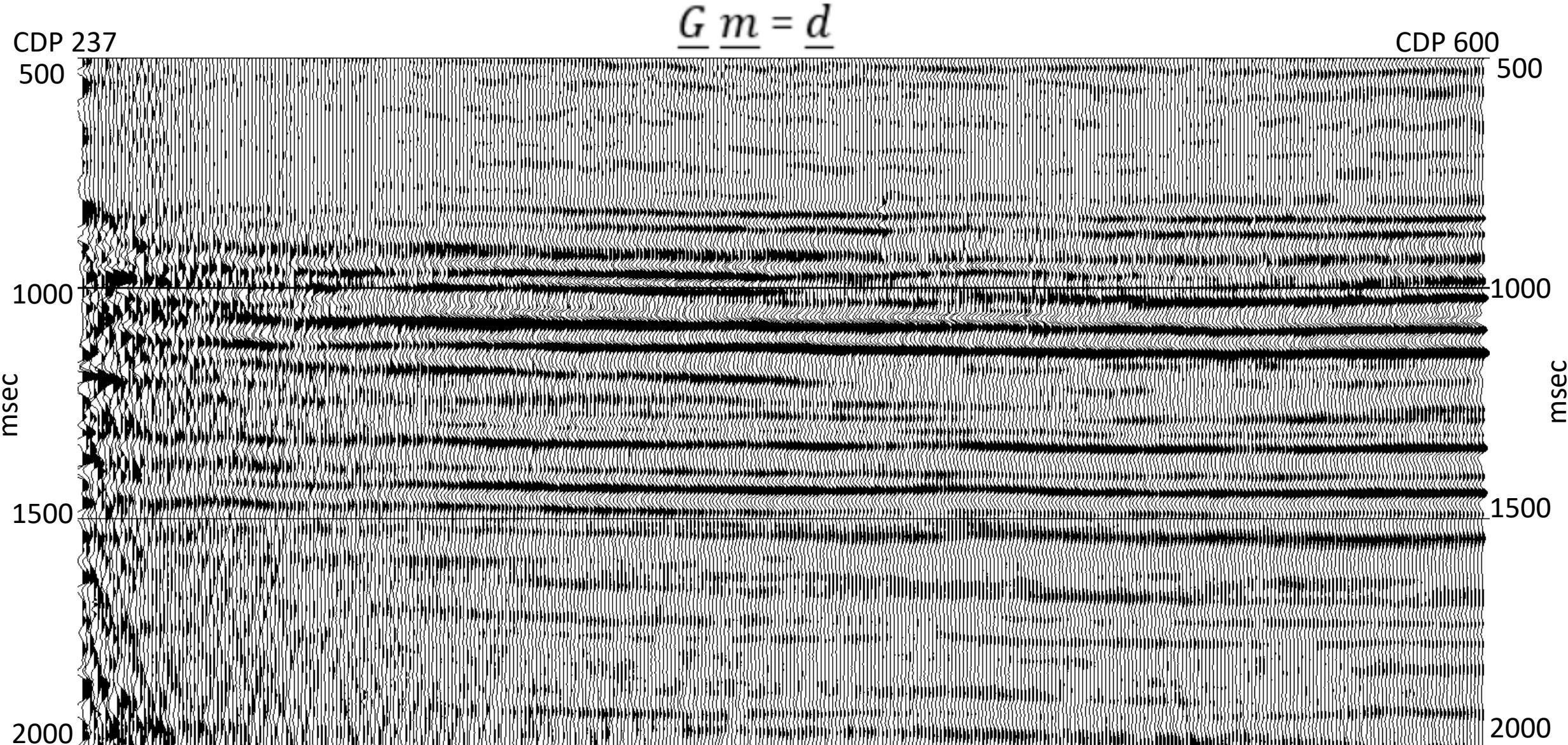
Elevation (m)



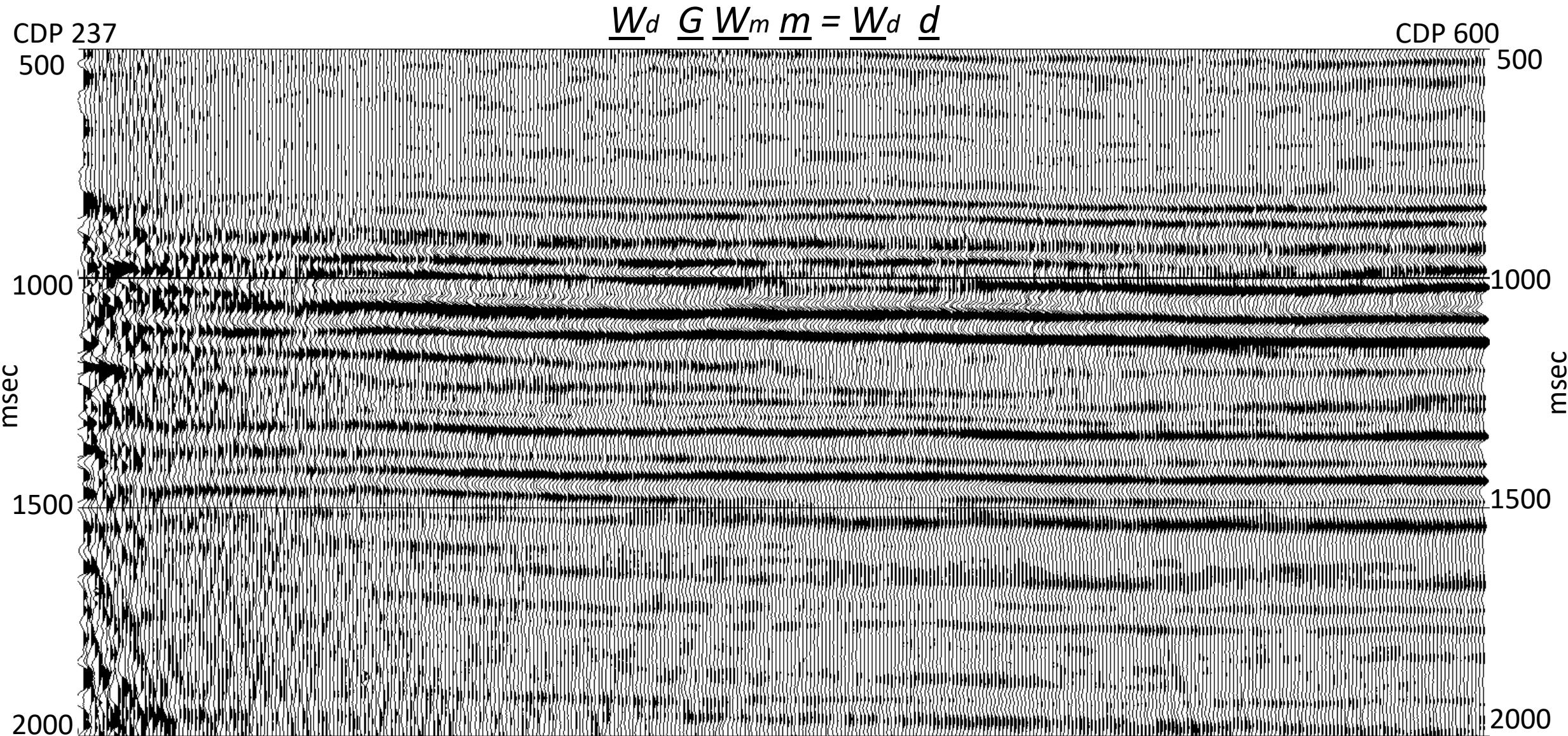
# CDP Stack



# Refraction tomography stack



# Refraction tomography stack



- Misfit function

$$E(m) = \frac{1}{2} \sum_{r=1}^{ng} \sum_{s=1}^{ns} \int_0^{t_{\max}} |p_{cal}(x_r, t; x_s) - p_{obs}(x_r, t; x_s)|^2$$

- Model update

$$\Delta m = - \left[ \frac{\partial^2 E(m)}{\partial m^2} \right]^{-1} \frac{\partial E(m)}{\partial m}$$

- Hessian, gradient

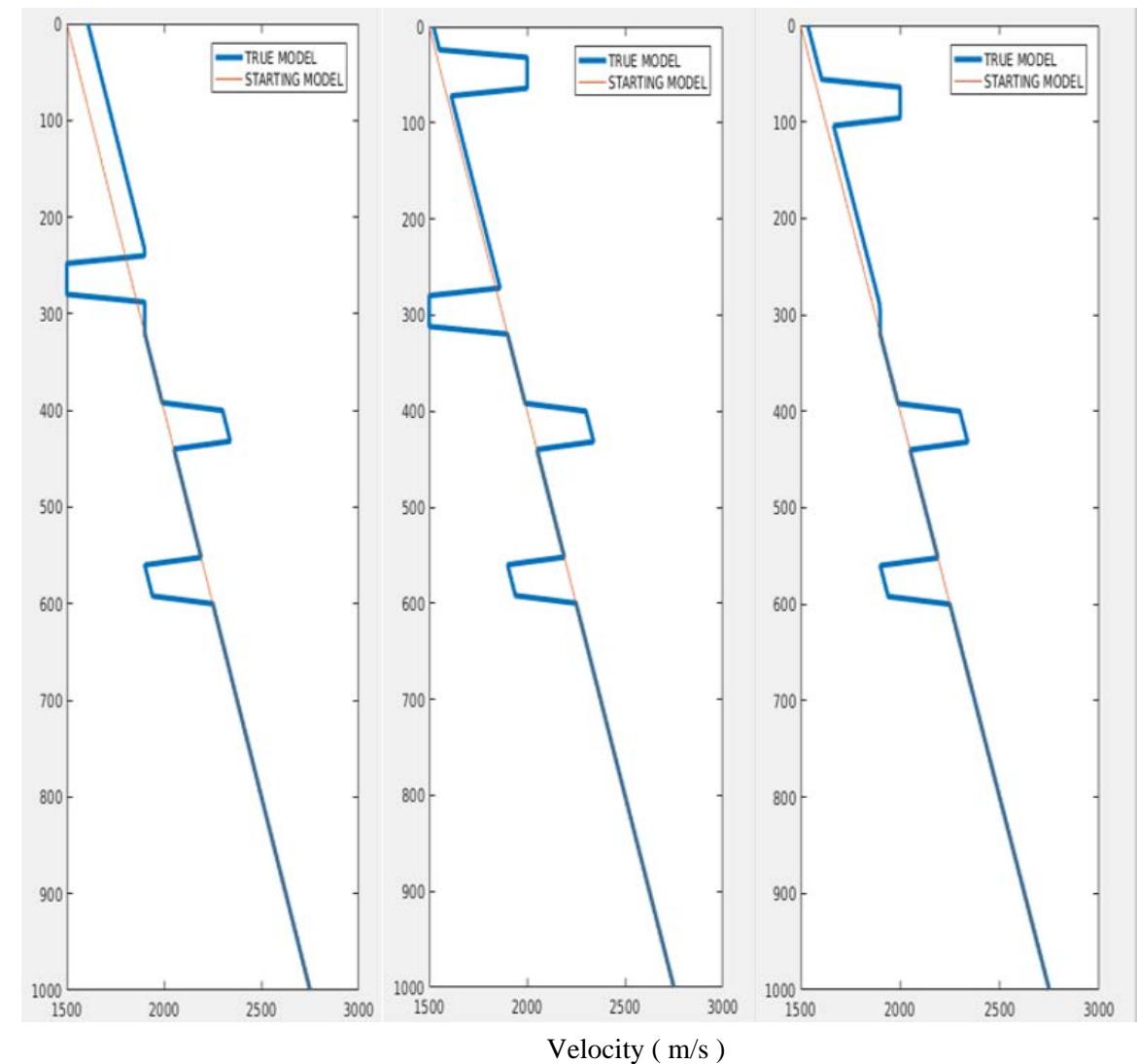
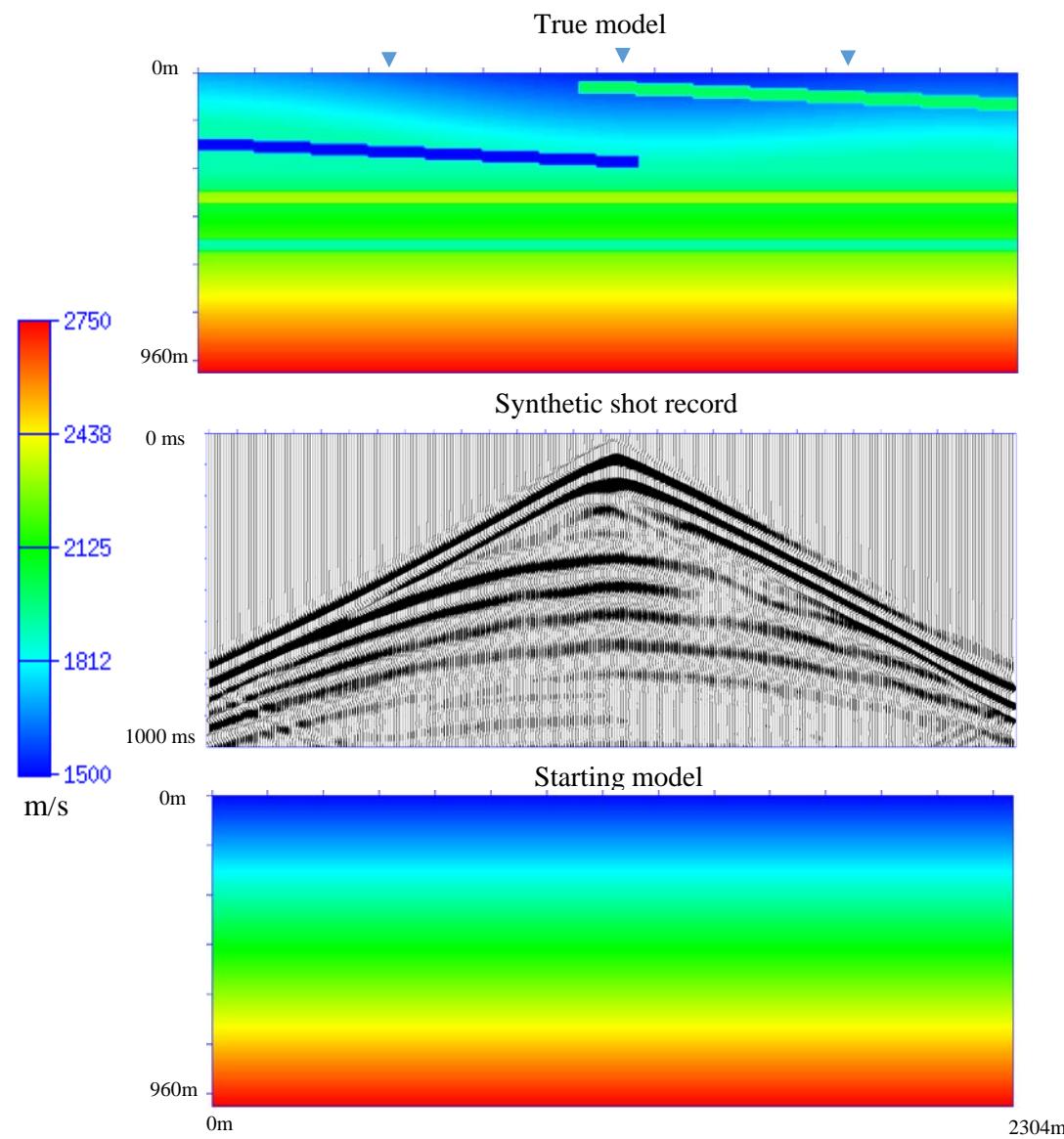
$$\Delta m = -H^{-1} \nabla E_m$$

- Step length

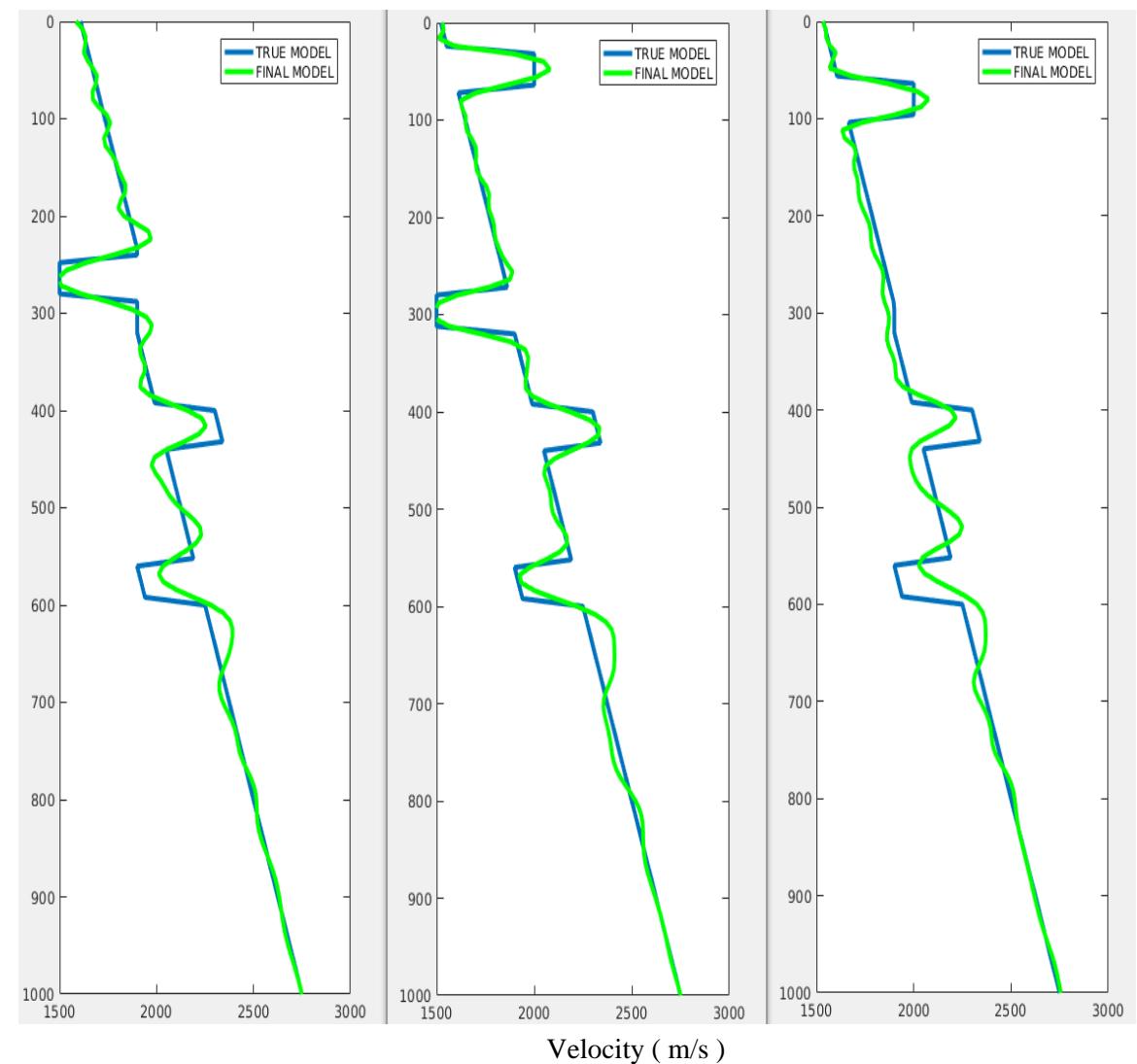
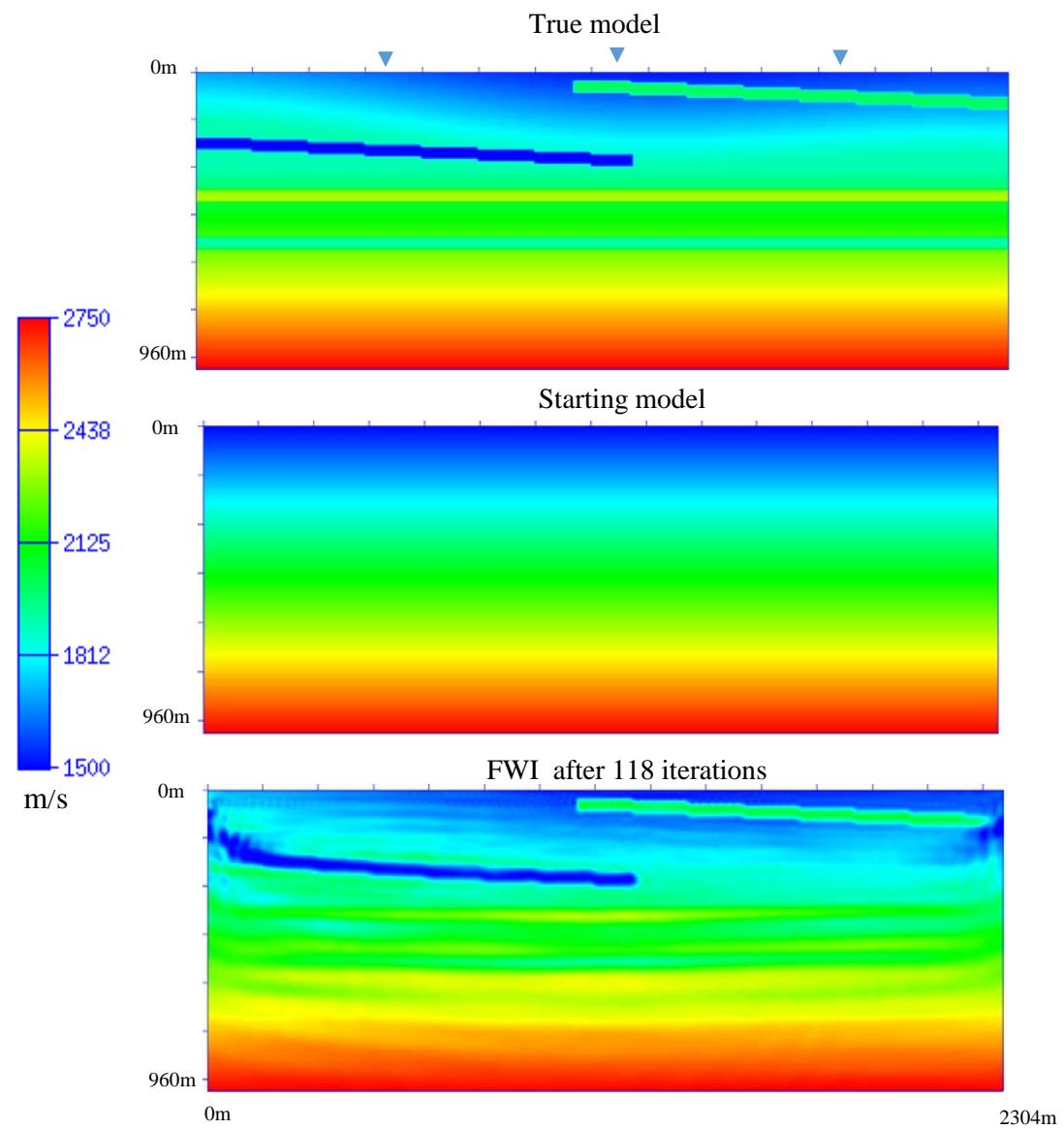
$$\Delta m = -\alpha \nabla E_m$$

Yang 2015

# FWI numerical experiment



# FWI numerical experiment



# Conclusions

- We reviewed the GLI and refraction tomography methods and showed that reflection residual statics are often required.
- We modified the cost functions of GLI and refraction tomography to incorporate these reflection residual statics in the model space and data space regularization
- We used numerical experiment to demonstrate the resolving power of FWI. However, for real field data application careful preparation of the input data to ensure the input to FWI matches the assumption and physics of forward modeling is critical for successful FWI.

# Acknowledgments

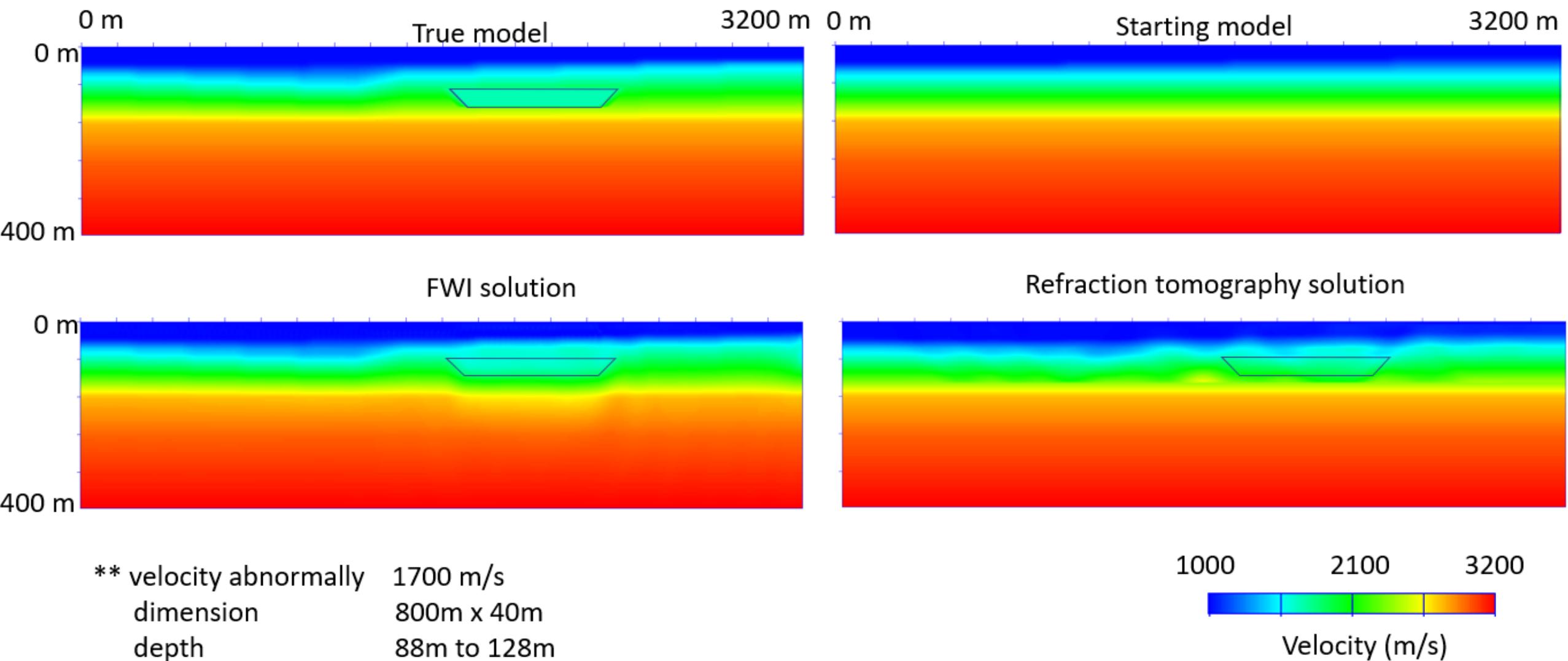
CREWES Sponsors

NSERC

CREWES faculty, staff and students

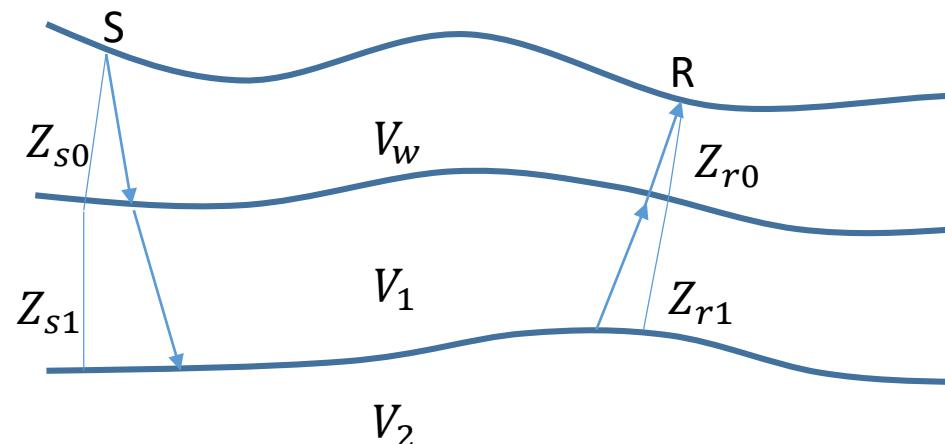
Madagascar

# FWI refraction test



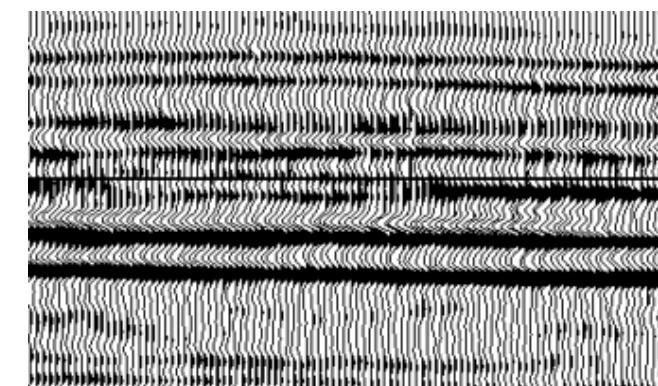
# Computing model weight $W_m$

## Refraction Inversion



## Weathering statics correction for layer i :

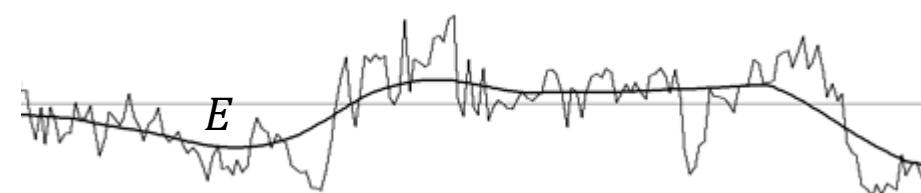
$$T_i = \frac{Z_i}{V_r} - \frac{Z_i}{V_i}$$



*surface-consistent  
reflection residual  
statics*

$E$  = smoothed (long wavelength) residual statics

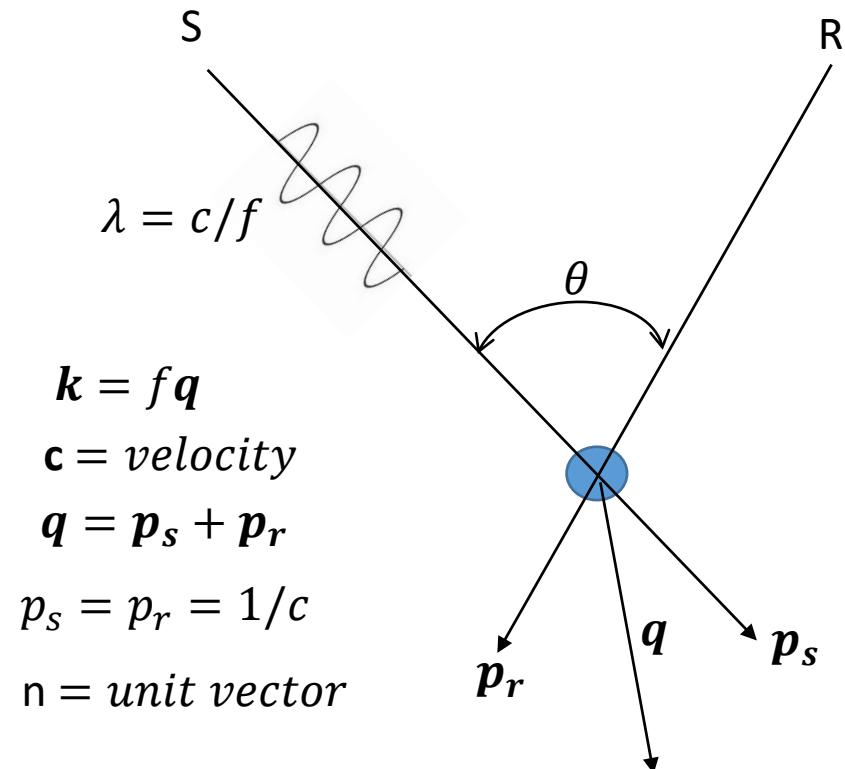
$$\mathbf{E}_i = E \cdot \frac{Z_i}{\text{Total thickness}}$$



# Spatial resolution of FWI

## Experimental setup of diffraction tomography

$$\mathbf{k} = \frac{2f}{c} \cos\left(\frac{\theta}{2}\right) \mathbf{n}$$

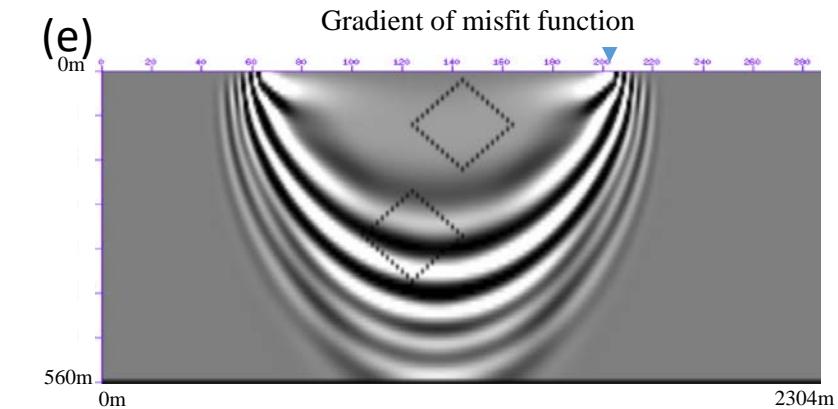
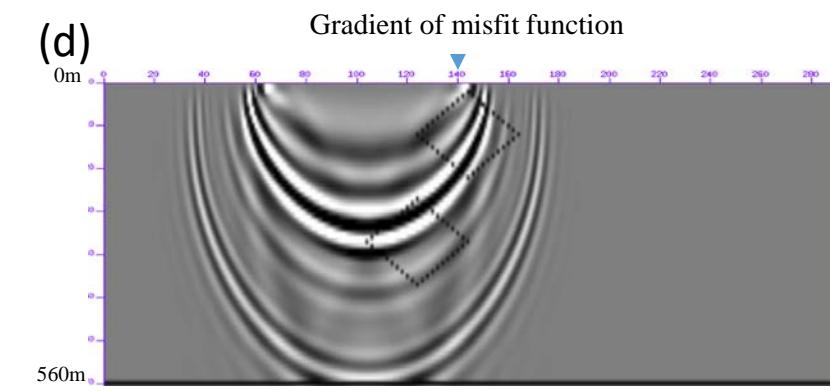
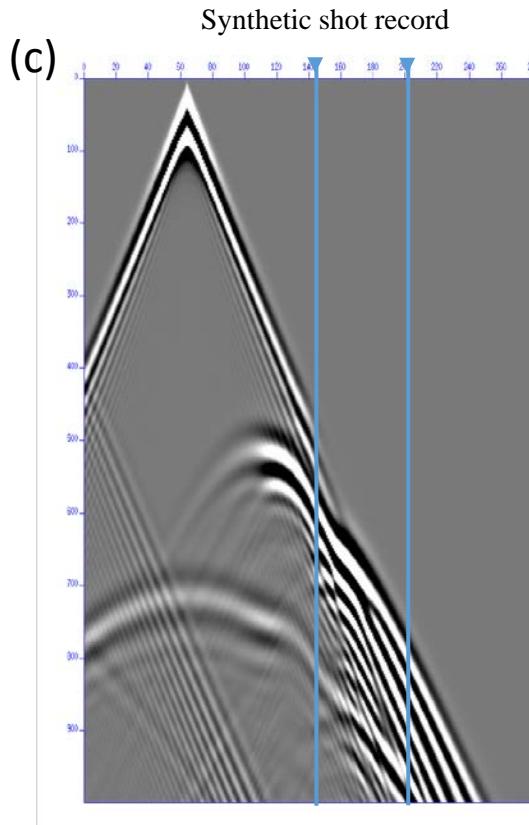
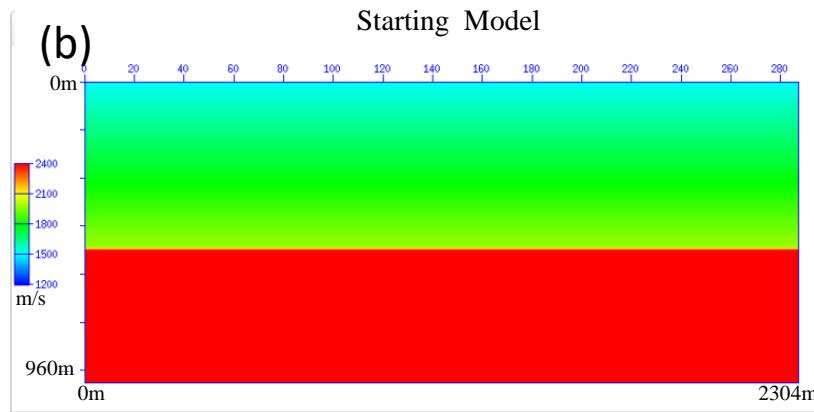
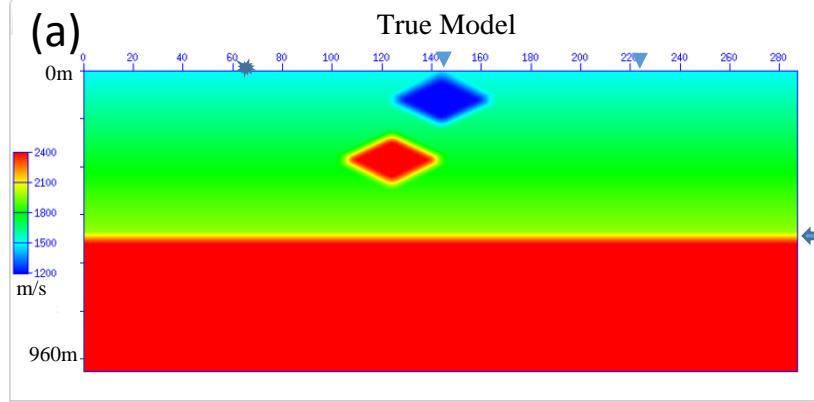


## Spatial resolution of FWI

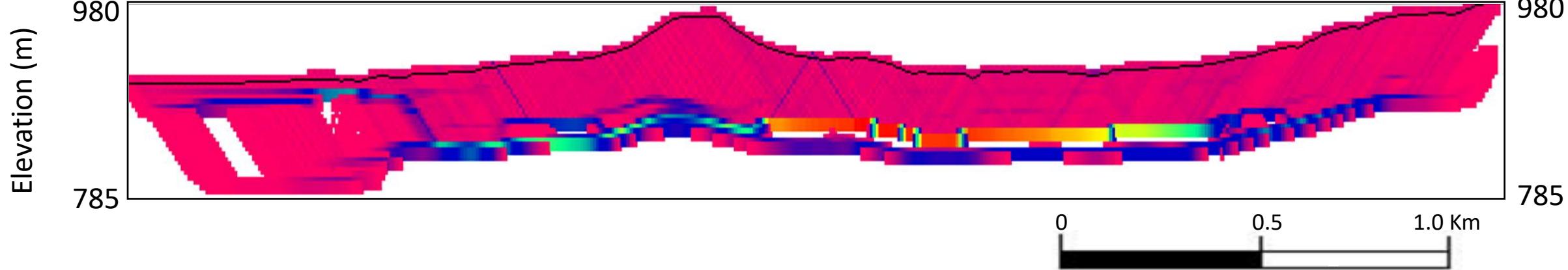
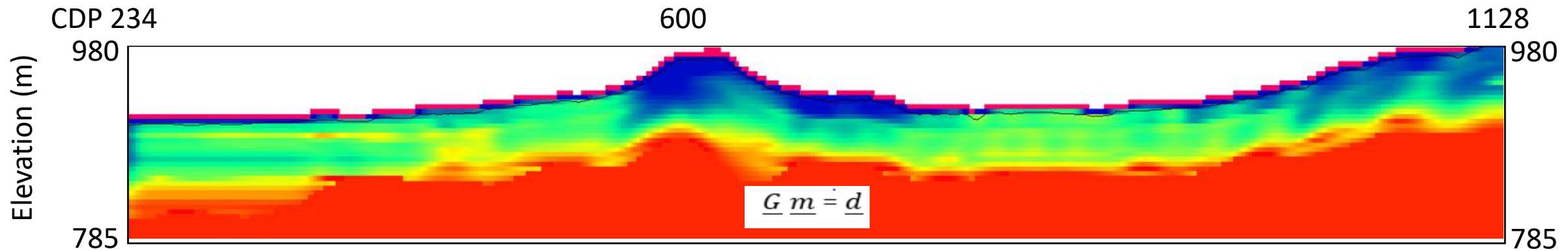
- Low frequency data and large aperture angle is required to resolve intermediate and low wavenumber (large wavelength) medium
- In shallow area where aperture angle is large, both low and high wavenumber medium can be reconstructed by direct waves, refraction and reflection in the shallow area
- In the deeper area where aperture angle is small, only high wavenumber (small wavelength) medium is reconstructed by reflection

Virieux and Operto 2009

# FWI Gradient Experiment



# Refraction tomography solutions



# Refraction tomography solutions

